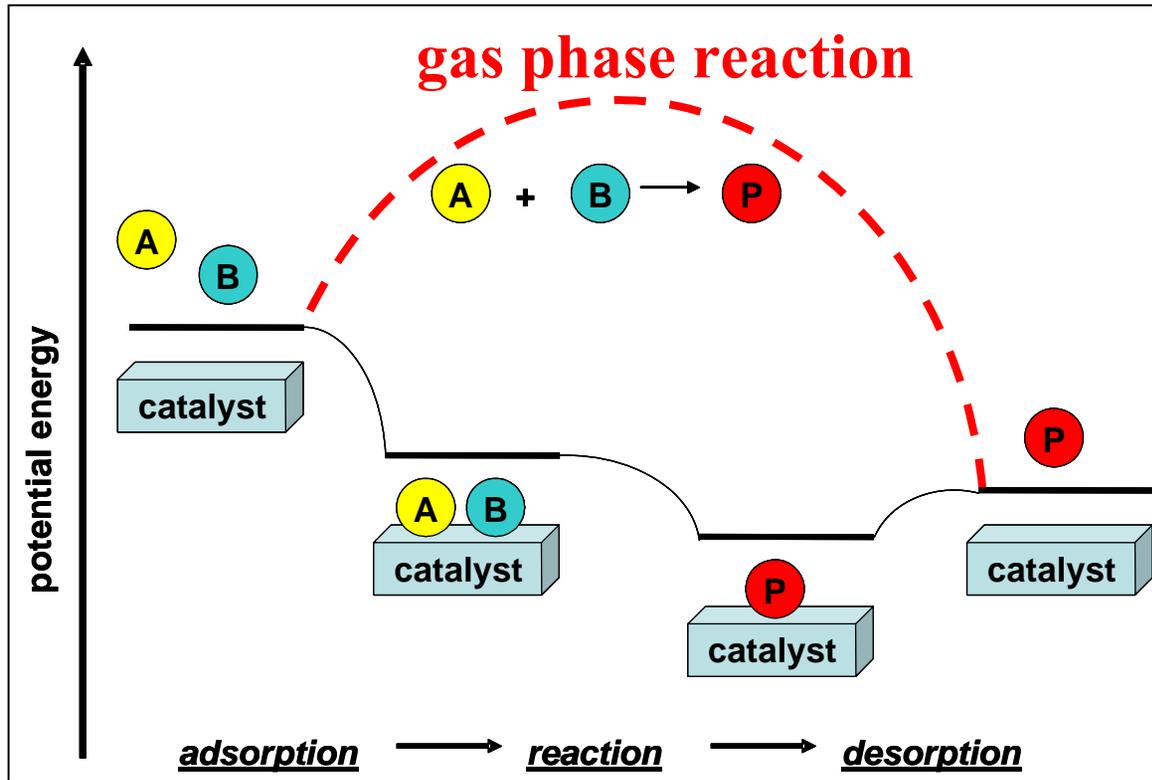


# **Heterogeneous Catalysis: From Imagining to Imaging a Working Surface**

**D. Wayne Goodman  
Texas A&M University  
Department of Chemistry**

- **Introduction to issues**
- **Studies of model catalysts with surface analytical methods: special properties of metal nanoclusters**
- **Thermal stability of metal nanoclusters**
- **Strategies for designing sinter resistant catalysts**

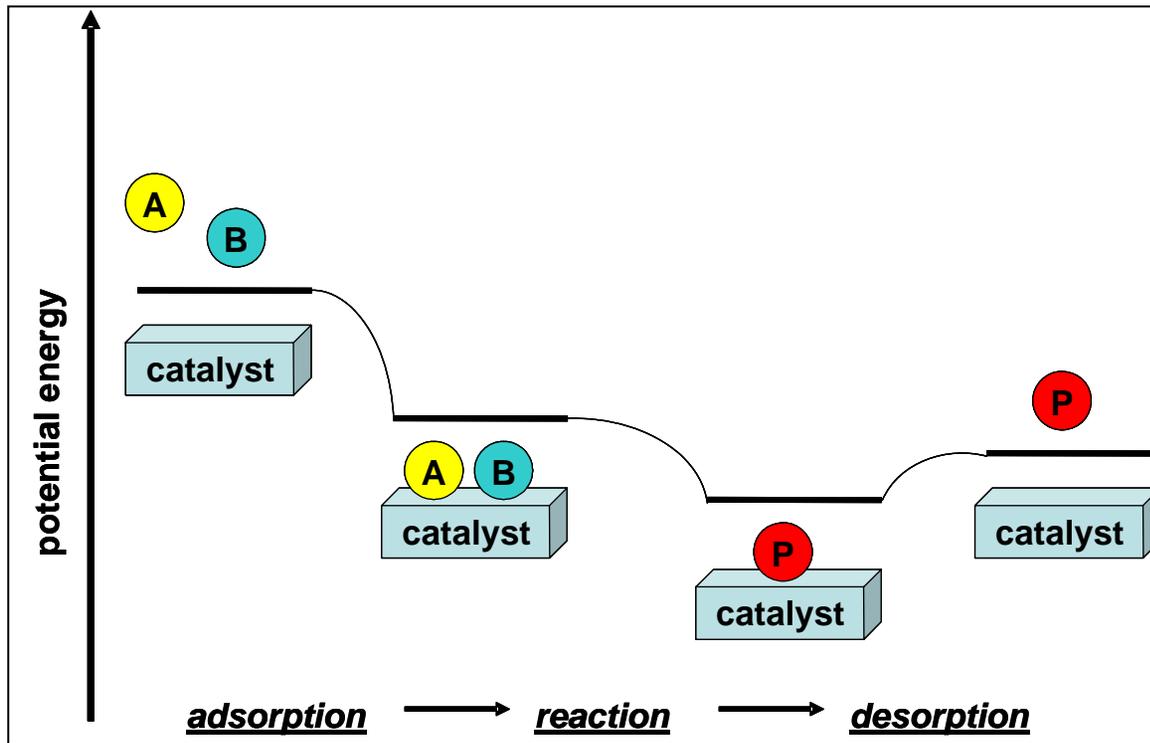
# Heterogeneous Catalysis



## Applications

- Production of transportation fuels (440 oil refineries)
- Production of chemicals
- Cleanup of automotive/industrial exhaust gases
- “Green” chemistry (unwanted byproducts)

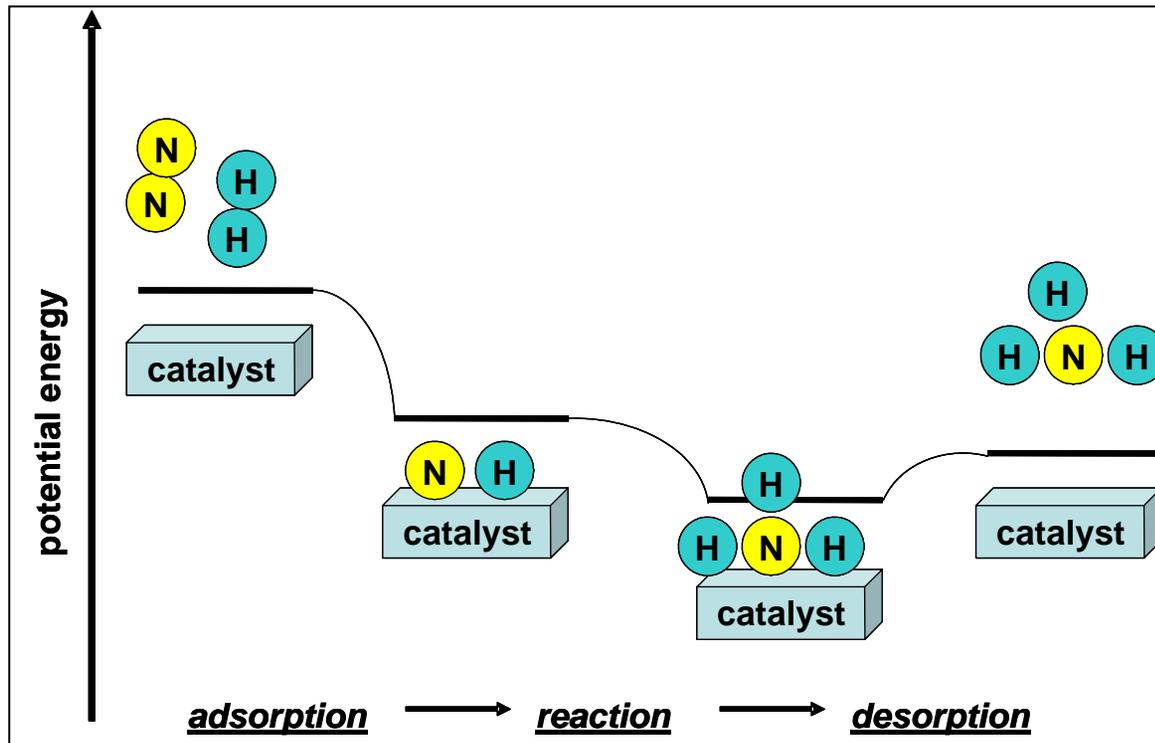
# Heterogeneous Catalysis



## Applications

- **Production of transportation fuels (440 oil refineries)**
- Production of chemicals
- Cleanup of automotive/industrial exhaust gases
- “Green” chemistry (unwanted byproducts)

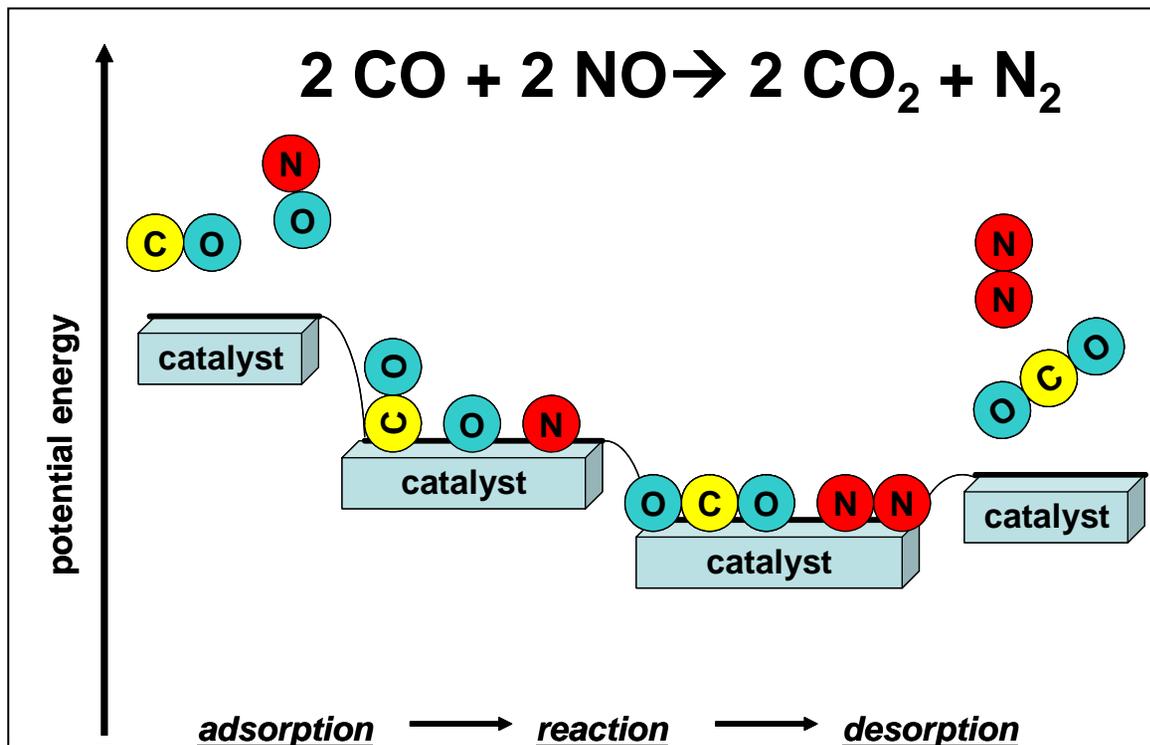
# Ammonia Synthesis



## Applications

- Production of transportation fuels (440 oil refineries)
- **Production of chemicals**
- Cleanup of automotive/industrial exhaust gases
- “Green” chemistry (unwanted byproducts)

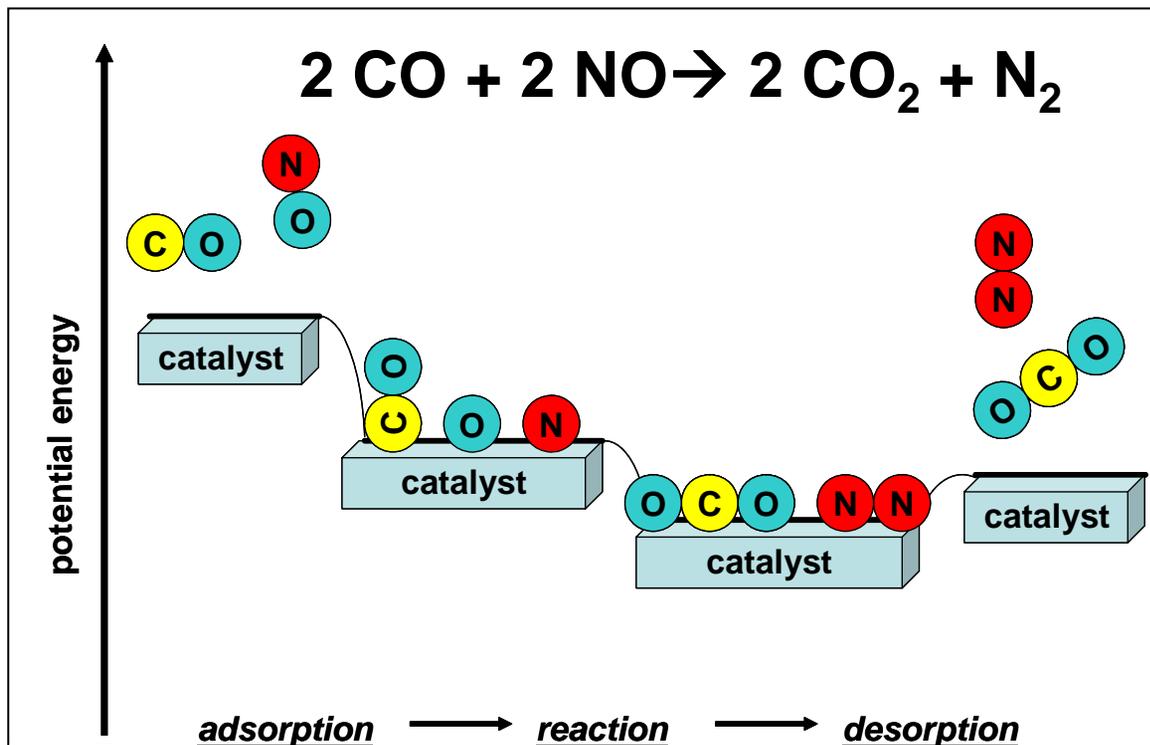
# Carbon Monoxide and Nitric Oxide



## Applications

- Production of transportation fuels (440 oil refineries)
- Production of chemicals
- **Cleanup of automotive/industrial exhaust gases**
- “Green” chemistry (unwanted byproducts)

# Carbon Monoxide and Nitric Oxide



## Applications

- Production of transportation fuels (440 oil refineries)
- Production of chemicals
- Cleanup of automotive/industrial exhaust gases
- “Green” chemistry (eliminate unwanted byproducts)

# “Green” Chemistry with Catalysts

Example: ethylene to ethylene epoxide



Old non-catalytic route (epichlorohydrine process):

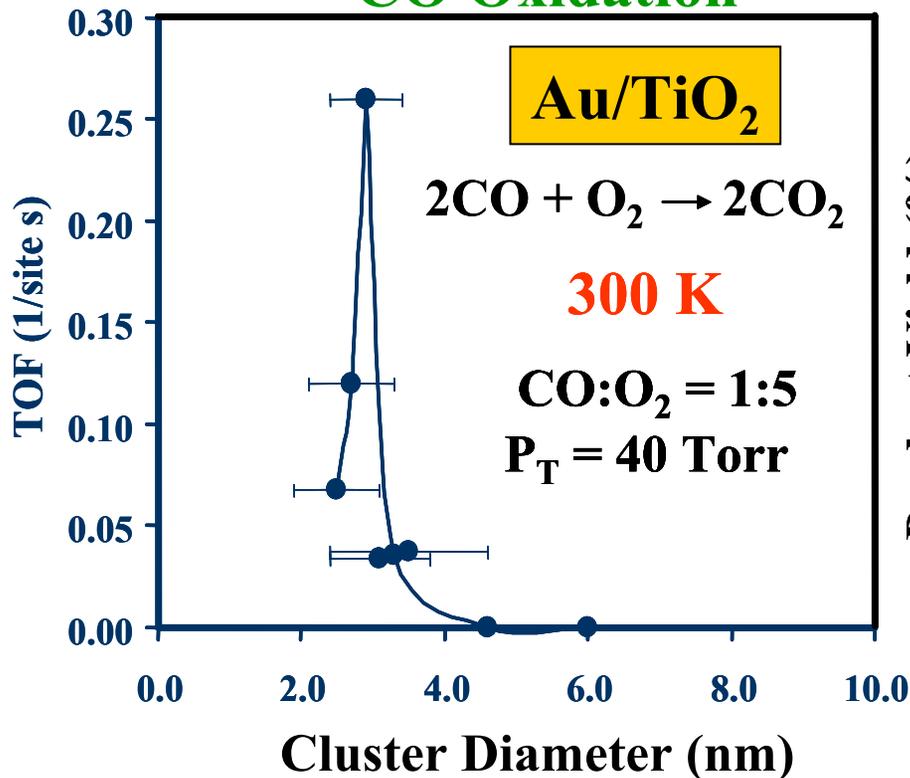


Catalytic route:



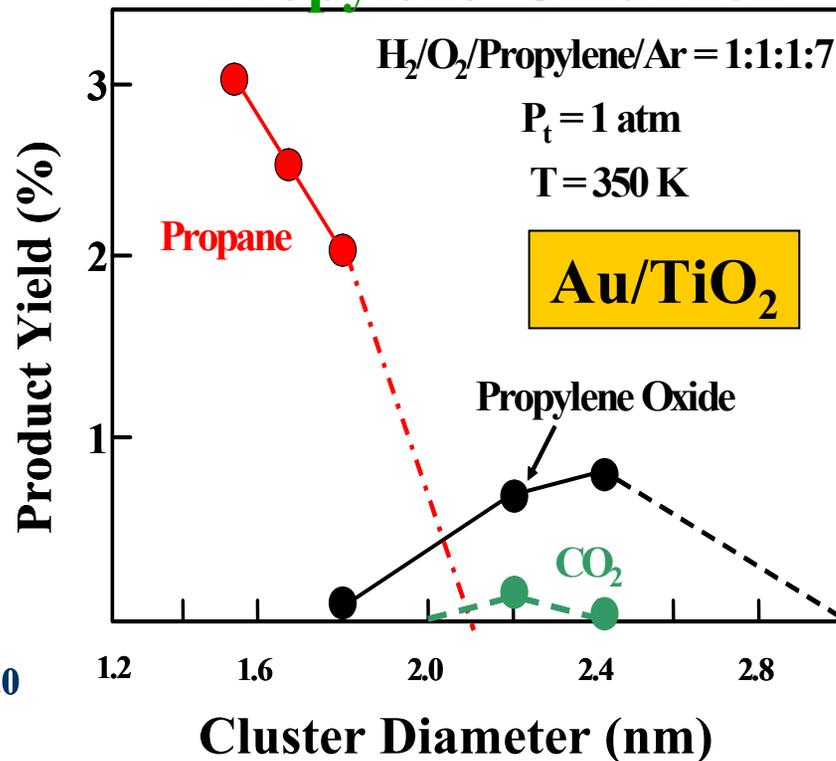
# Unique Catalytic Activity of Nanosized Gold Particles

## CO Oxidation



from Haruta, et al., Catalysis Letters (1997)

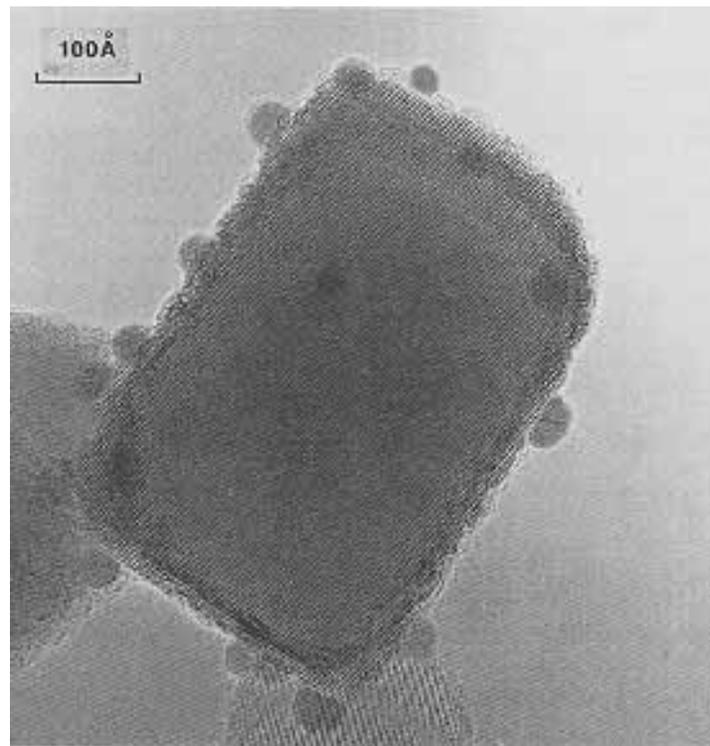
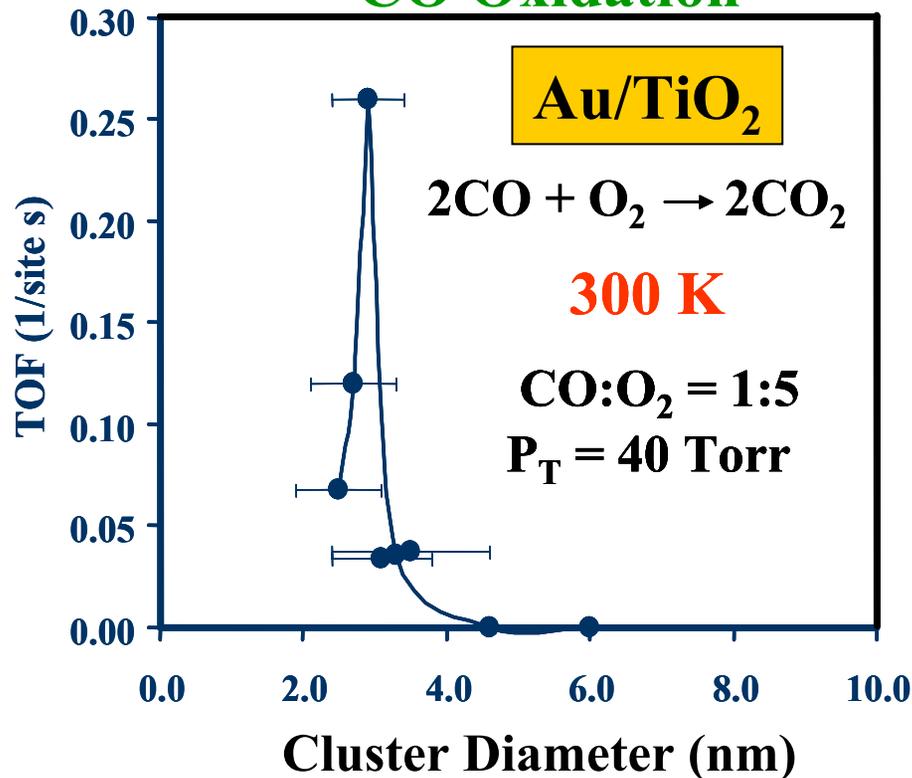
## Propylene Oxidation



from Haruta, et al., Shokubai, Catalysts and Catalysis (1995)

# Unique Catalytic Activity of Nanosized Gold Particles

## CO Oxidation



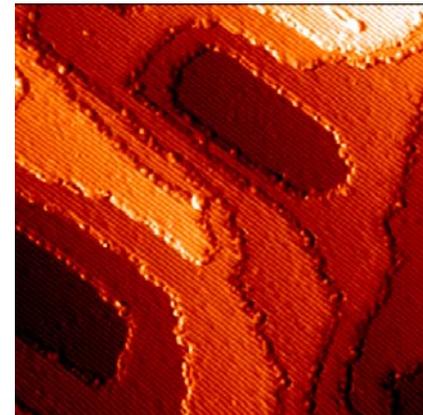
*TEM Image of Gold Supported on Titania (from M. Date, ONRI)*

*from Haruta, et al., Catalysis Letters (1997)*

# Model Oxide-Supported Metal Catalysts

*e.g.*  
 $\text{TiO}_2$

Oxide Single Crystal



$\text{TiO}_2(110)$

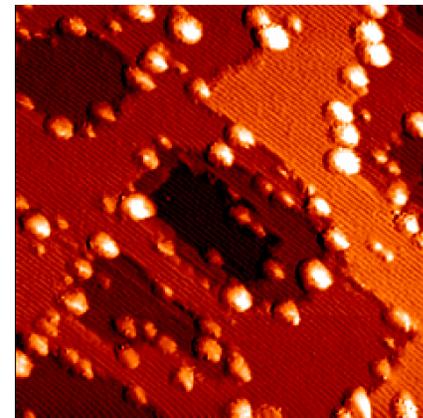
50 nm



Metal Clusters  
1.0-50 nm



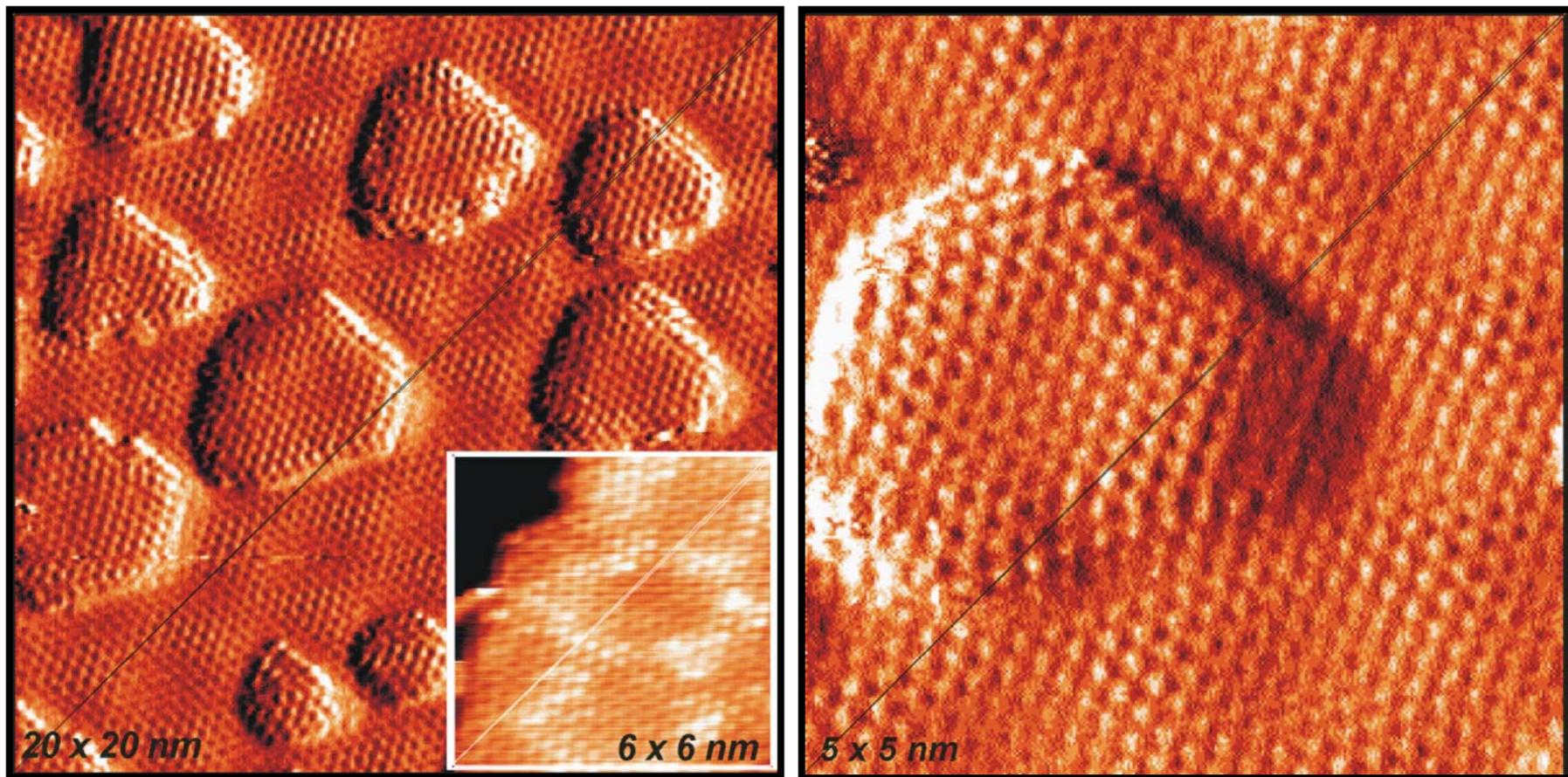
Oxide Single Crystal



$\text{TiO}_2(110)$   
+  
0.25 Au

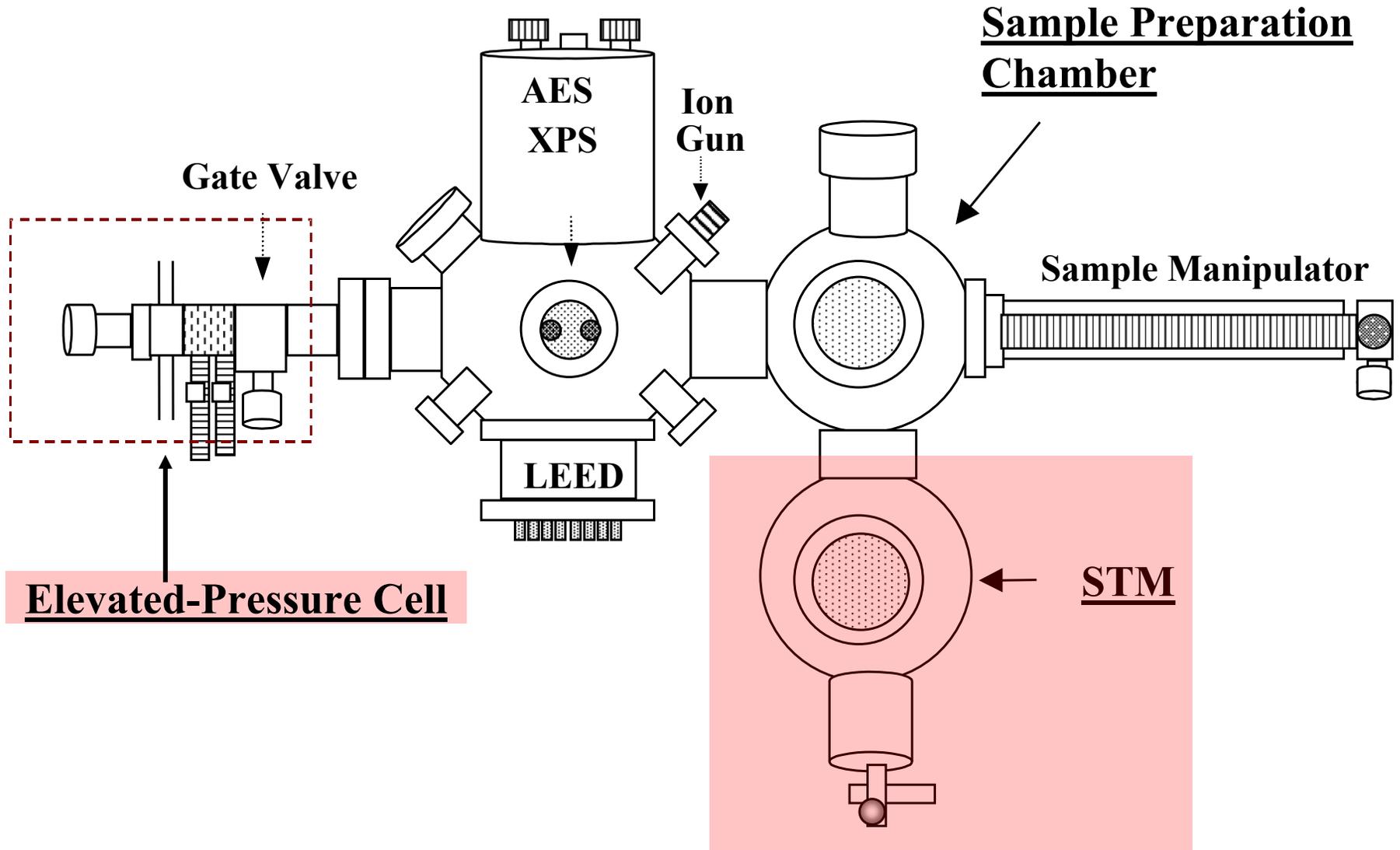
50 nm

# Pd on FeO(111)/Pt(111)

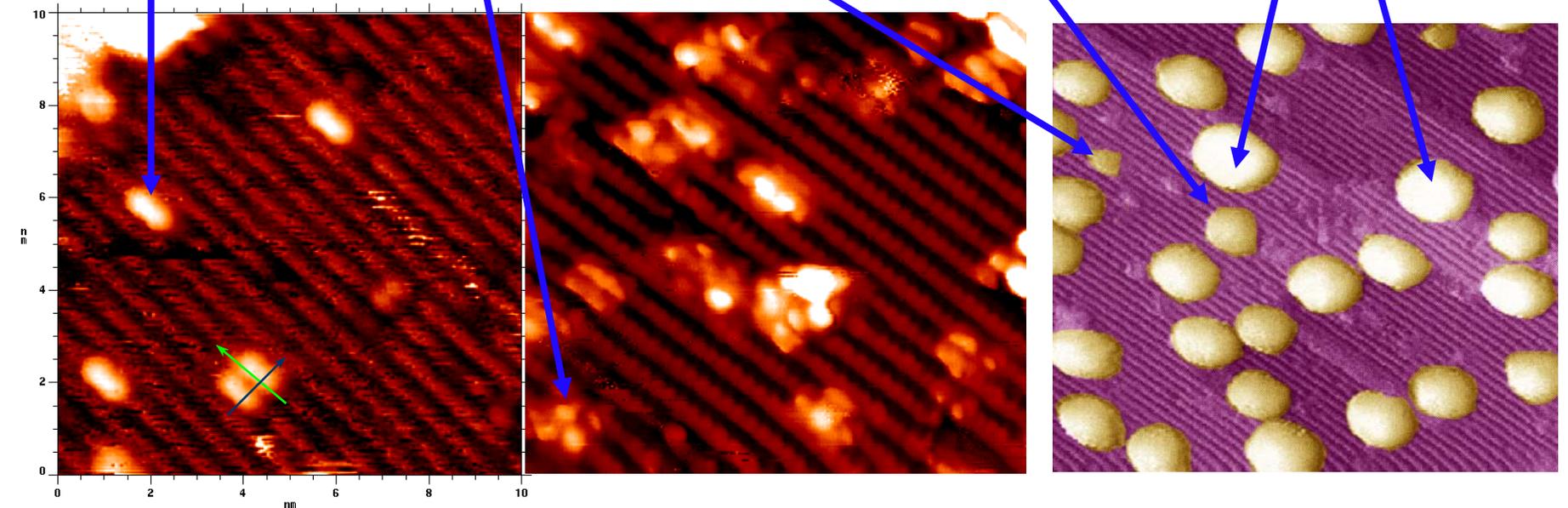
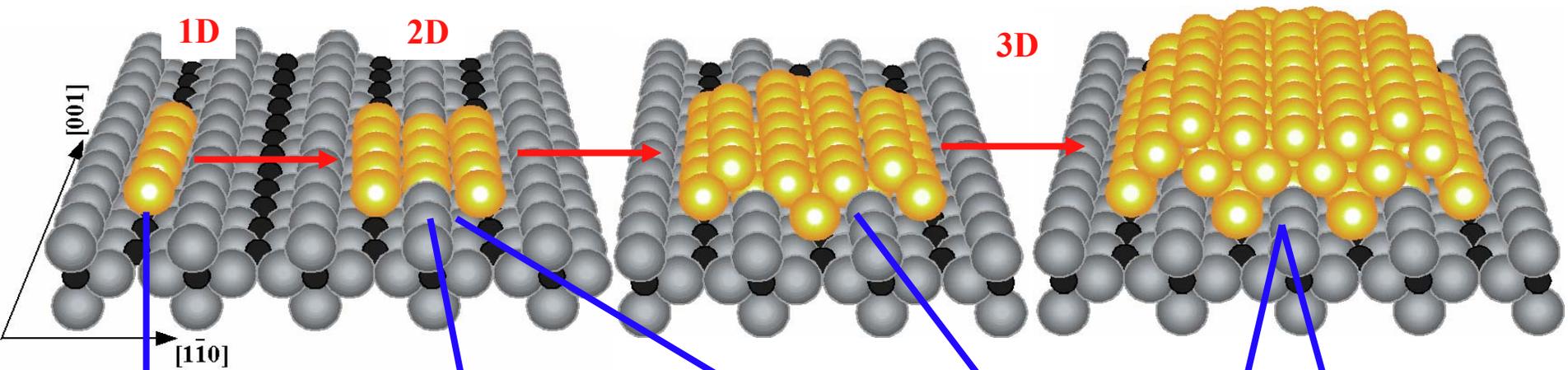


S. Shaikhutdinov, R. Meyer, D. Lahav, M. Baeumer, T. Kluener, H.-J. Freund  
Phys. Rev. Lett. (2003)

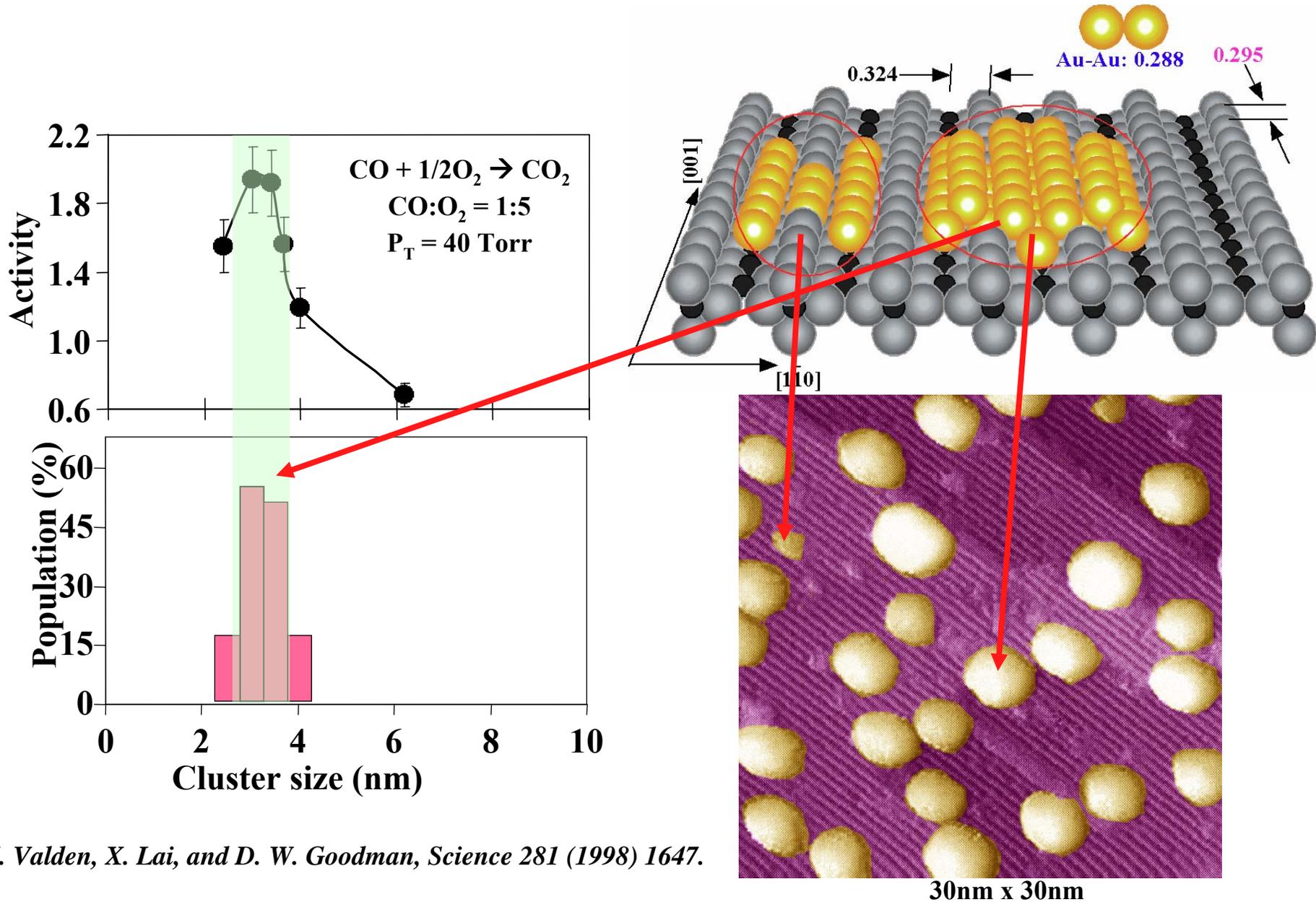
# Apparatus



# Au/TiO<sub>2</sub>(110): 1D → 2D → 3D

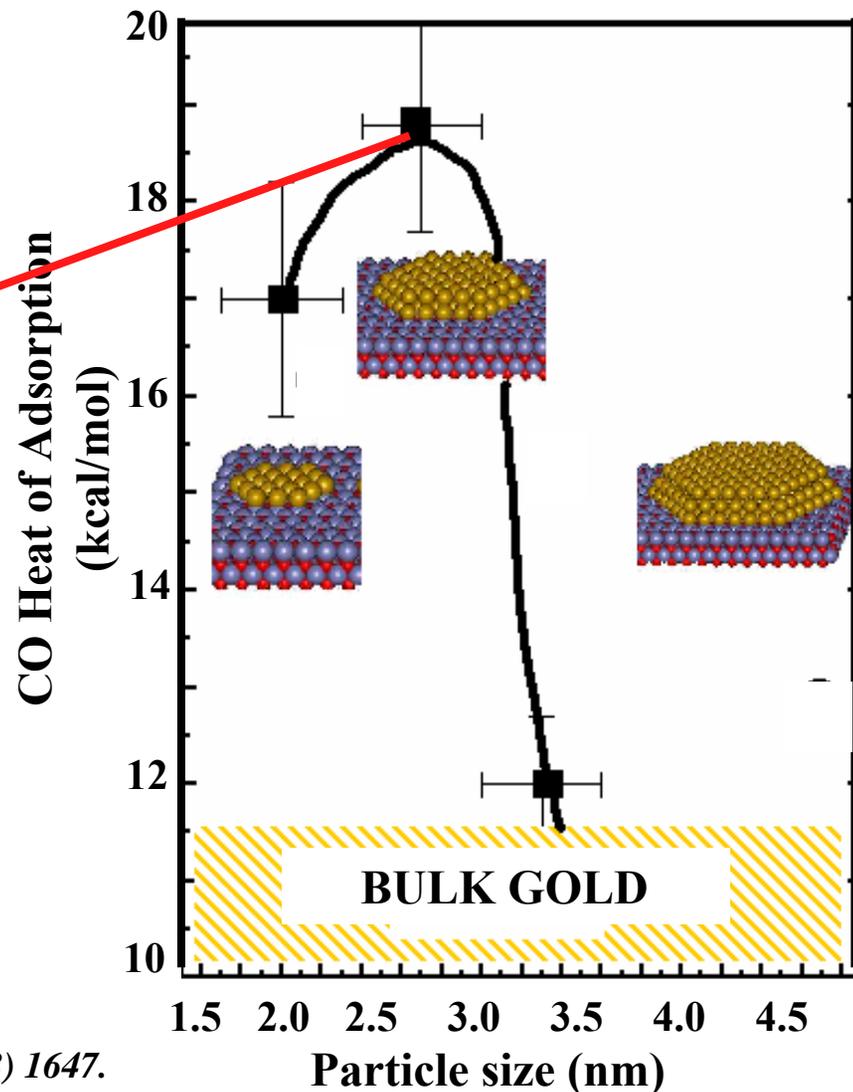
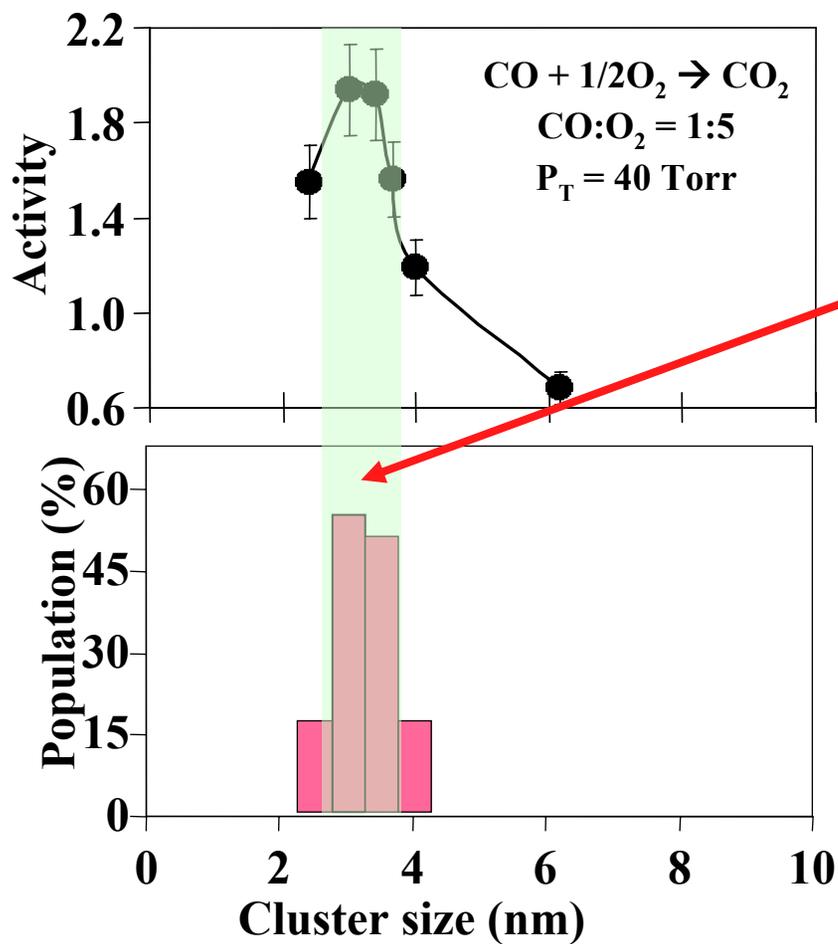


# Unique catalytic activity of Au/TiO<sub>2</sub>(110)



M. Valden, X. Lai, and D. W. Goodman, *Science* 281 (1998) 1647.

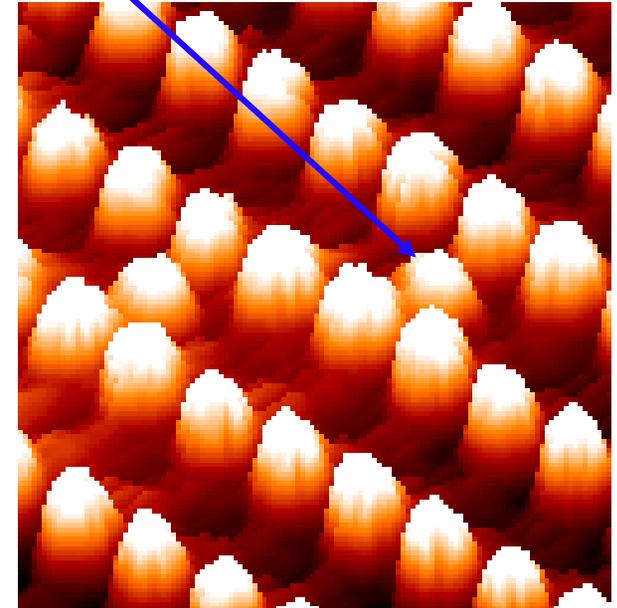
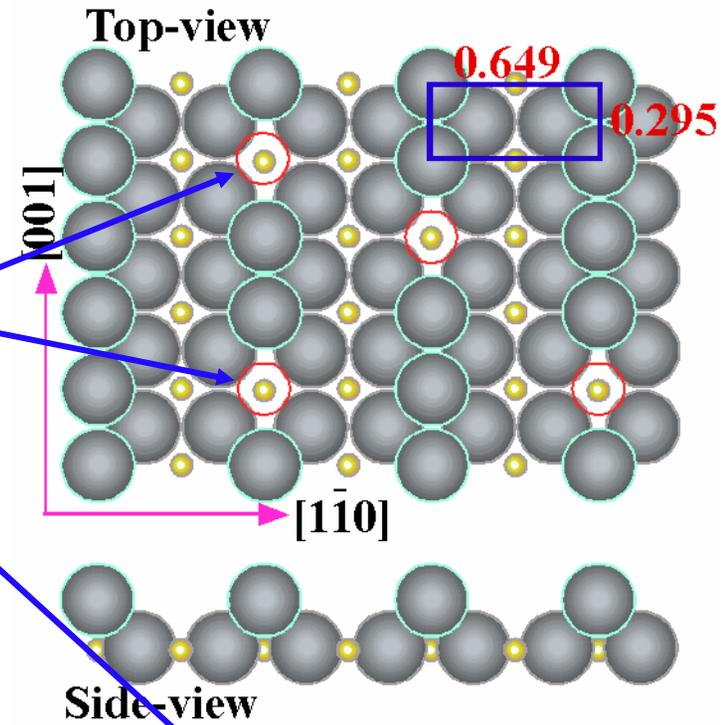
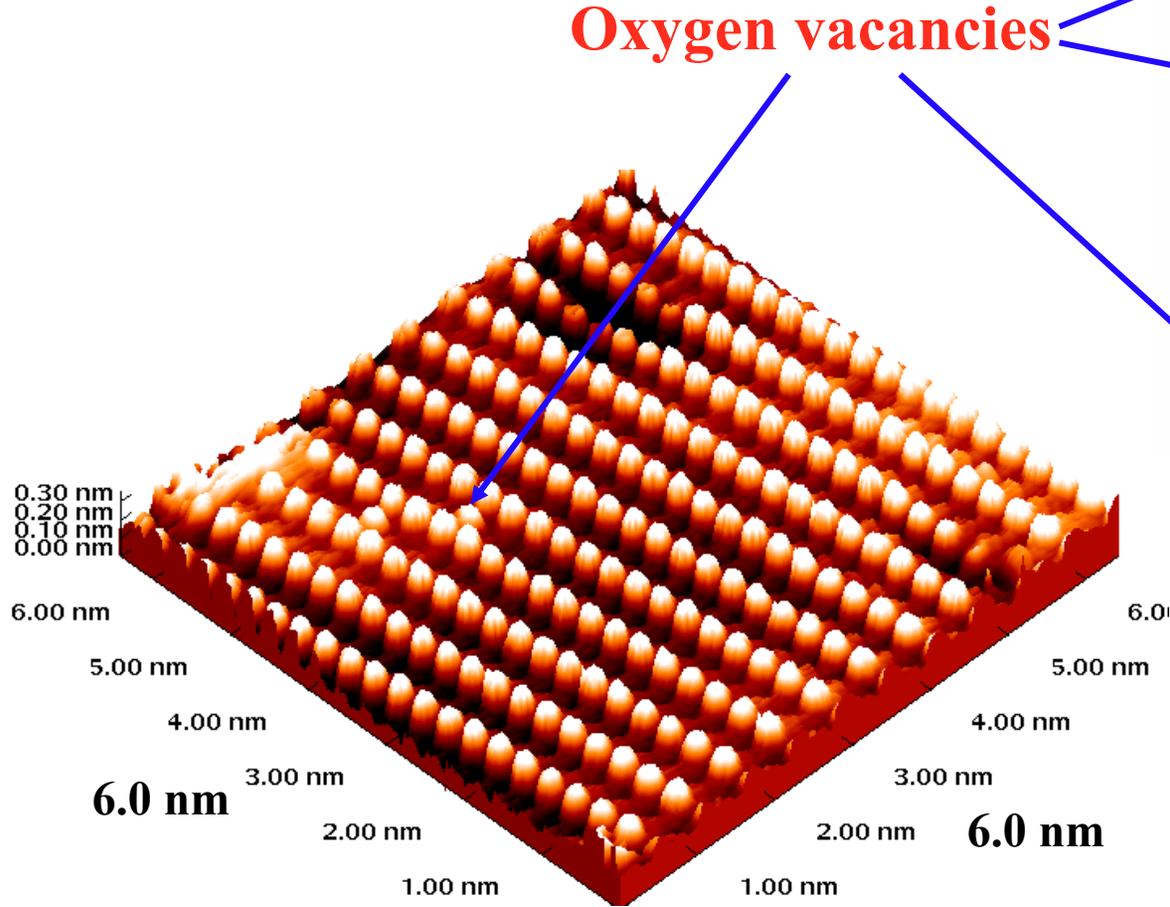
# Unique properties of Au/TiO<sub>2</sub>(110)



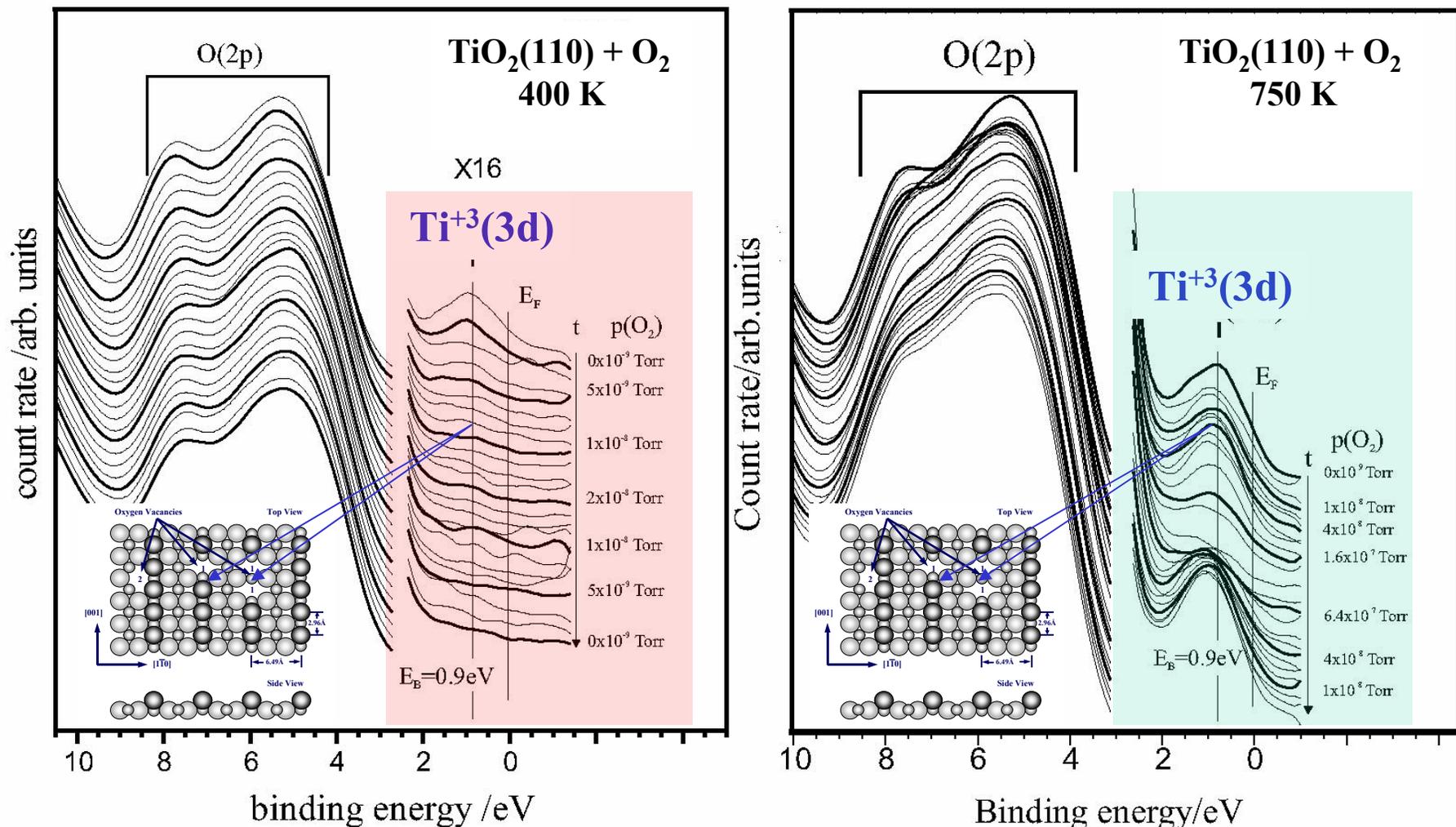
*M. Valden, X. Lai, and D. W. Goodman, Science 281 (1998) 1647.*

*D. C. Meier, D. W. Goodman, J. Am. Chem. Soc. 126 (2004) 1892.*

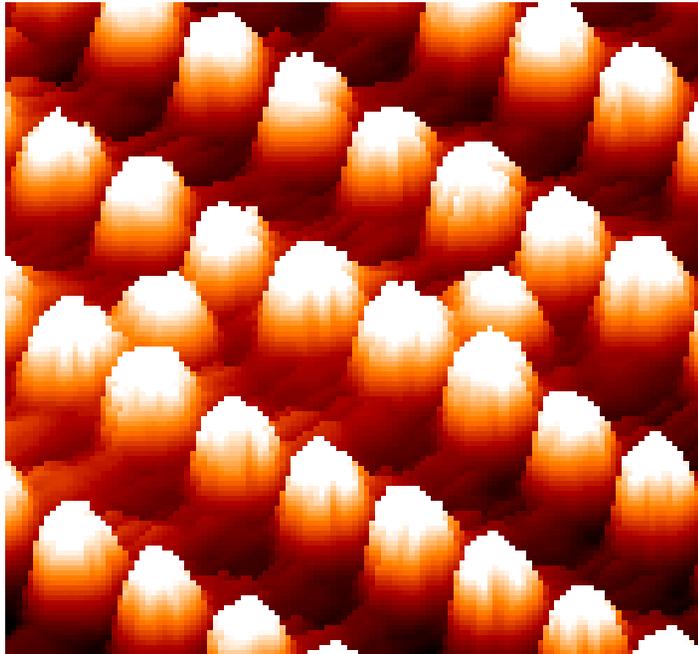
# Surface defects on $\text{TiO}_2(110)$



# Ultraviolet Photoelectron Spectroscopy (UPS): Defects on TiO<sub>2</sub>(110)

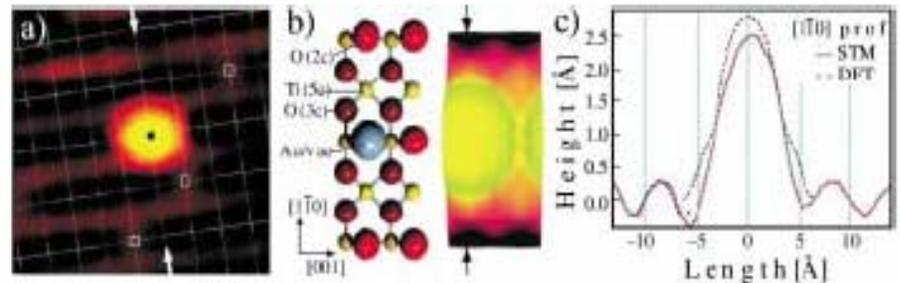


# Role of Oxygen Defects in Metal Cluster Nucleation and Growth on $\text{TiO}_2(110)$



**oxygen vacancies**

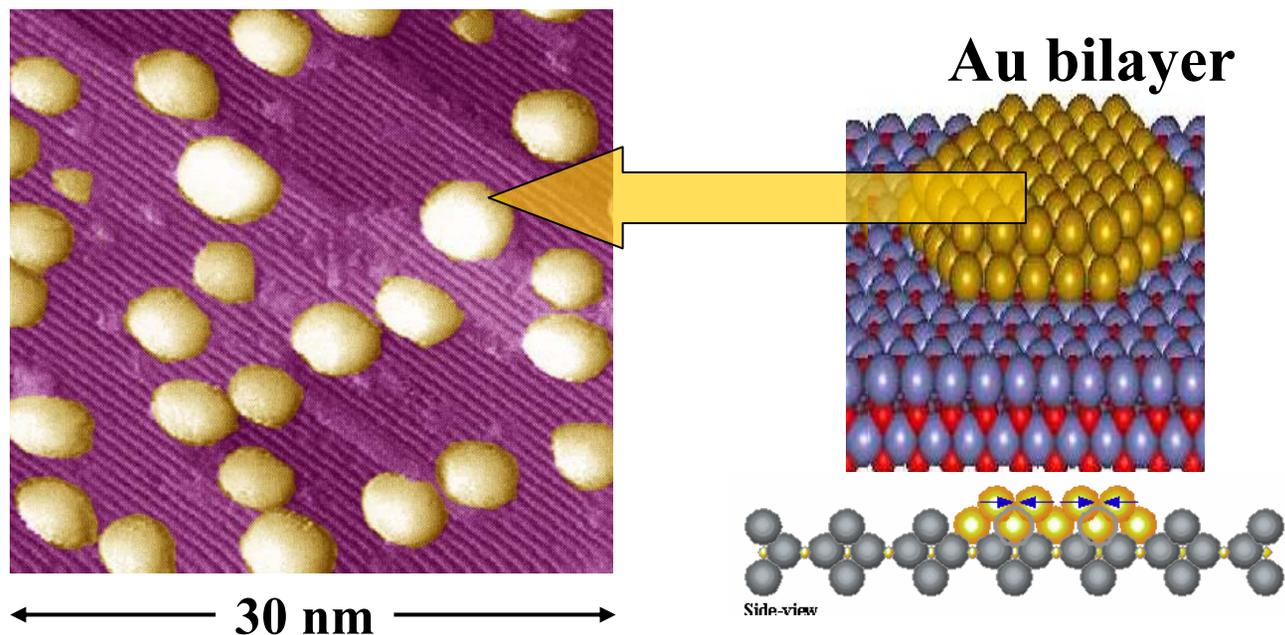
**Bridging oxygen vacancies are the active nucleation sites for Au clusters**



a) STM image of a small Au clusters on  $\text{TiO}_2$ . Vacancies are marked with squares. b) Simulated STM image of a single Au atom trapped in a oxygen vacancy. c) Line profiles comparing DFT theoretical results and experiment.

*E. Wahlstroem, N. Lopez, R. Schaub, P. Thostrup, A. Ronnau, C. Africh, E. Laegsgaard, J. K. Norskov, and F. Besenbacher, Phys. Rev. Lett. 90, 101 (2003)*

# Au on TiO<sub>2</sub>(110): Cluster Anchored via Reduced Titania



**DFT shows that Au nanoparticles promote the exchange of oxygen vacancies between the surface and bulk of titania**

*Rodriguez et al, J. Am. Chem. Soc., 124 (2002) 5242*

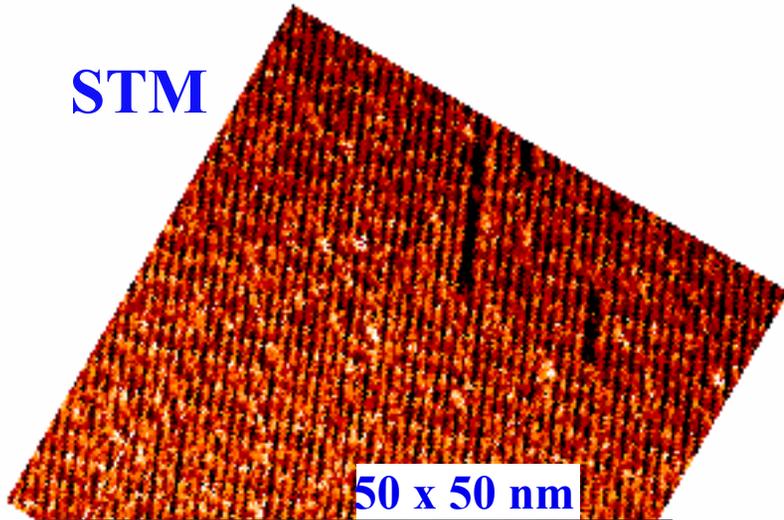
and

**Single oxygen vacancy can bind 3 Au atoms on average**

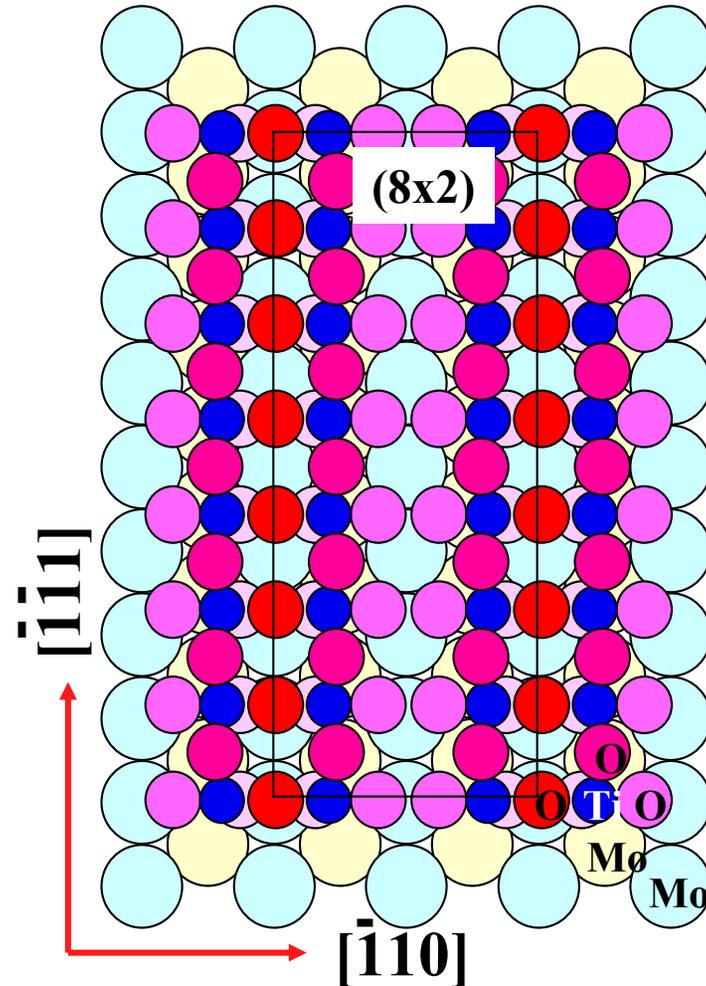
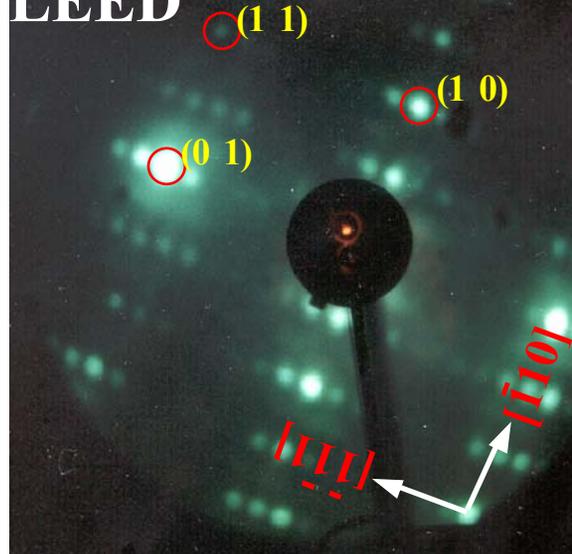
*E. Wahlstroem, N. Lopez, R. Schaub, P. Thostrup, A. Ronnau, C. Africh,  
E. Laegsgaard, J. K. Norskov, and F. Besenbacher, Phys. Rev. Lett. 90, 101 (2003)*

# Reduced Titania Surface: $\text{TiO}_x/\text{Mo}(112)$

STM

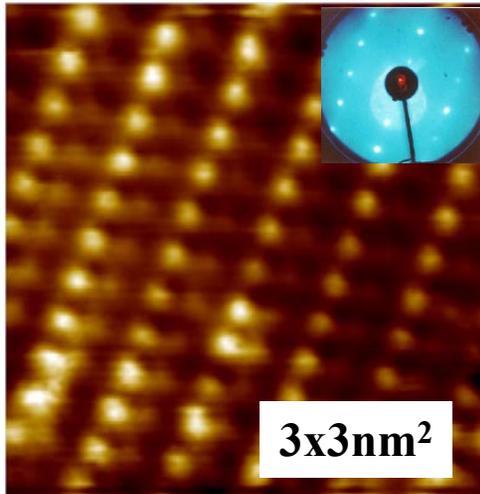
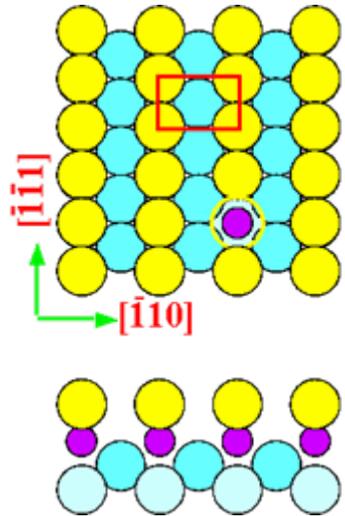


LEED

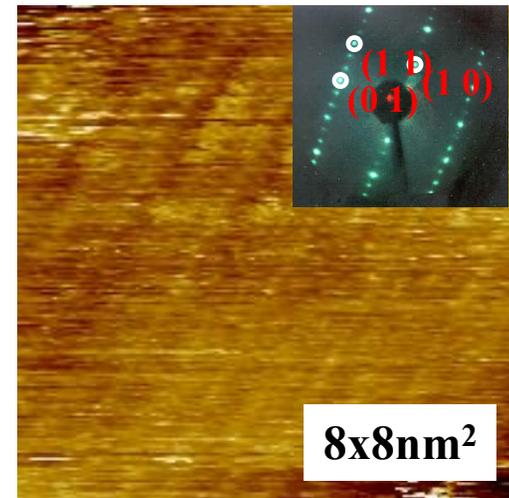
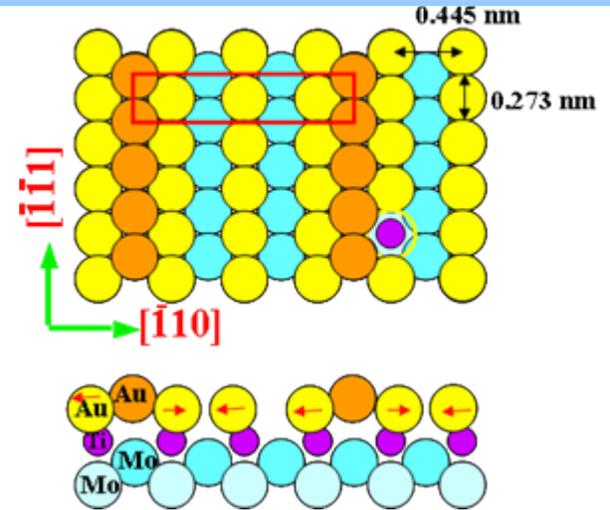


**Full-1ML-Ti<sup>3+</sup>!!**

# 1.0 monolayers Au: (1x1)-Au/TiO<sub>x</sub>/Mo(112)

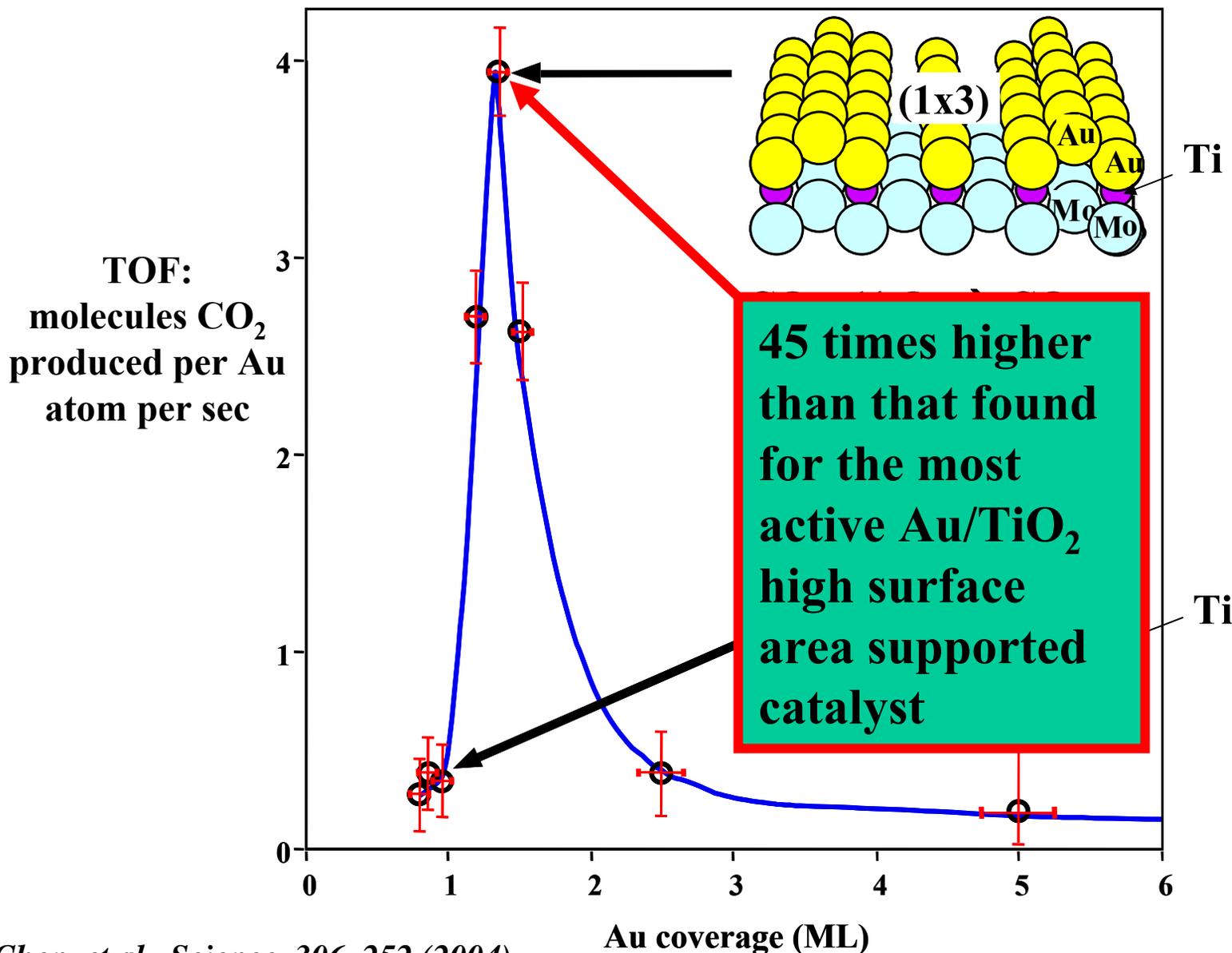


# 1.33 monolayers Au: (1x3)-Au/TiO<sub>x</sub>/Mo(112)

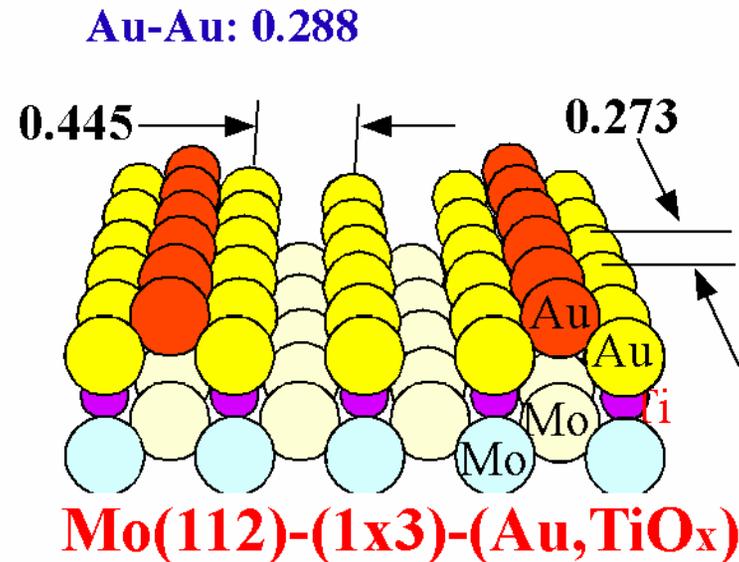
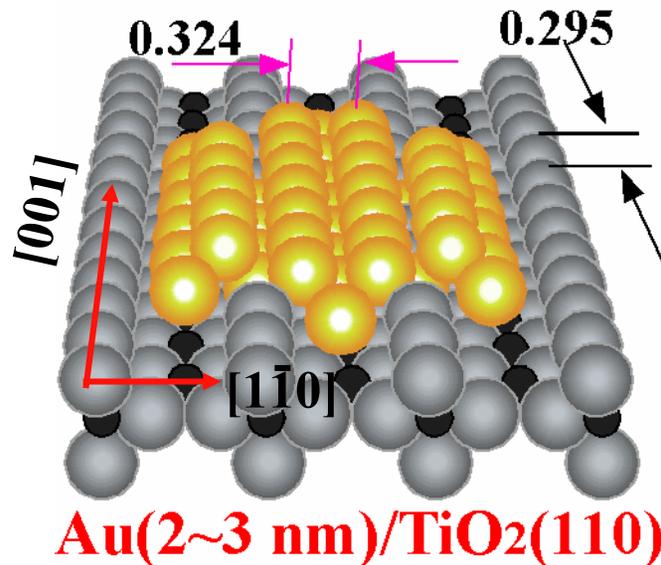


*M. S. Chen and D. W. Goodman, Science 306 (2004) 252;  
STM: Chen et al. (2006)*

# Relative Catalytic Activity of Mono- and Bi-layer Au/TiO<sub>x</sub>



# Similarity of Au nanoparticles & the (1x3) well-ordered bilayer



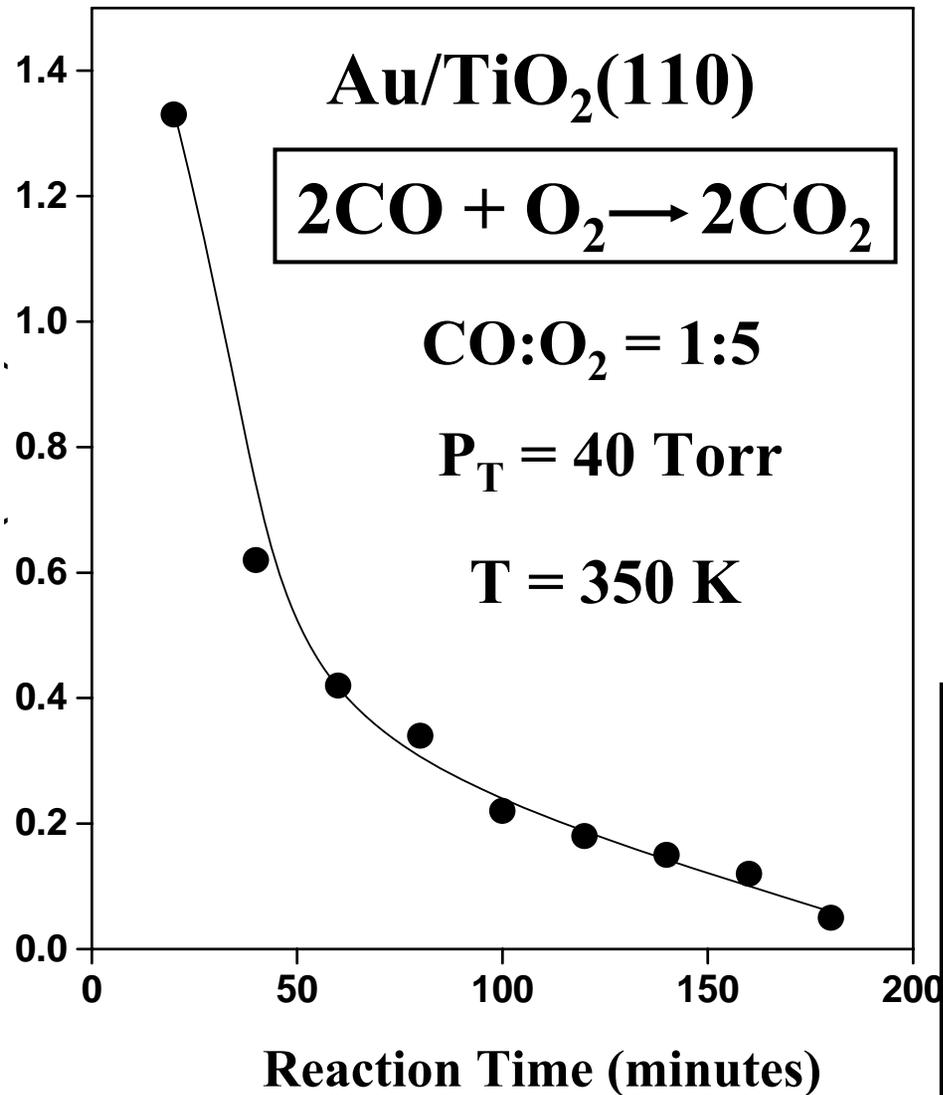
**Both form 1D-like chain for the topmost Au atoms!**

# Summary: Properties of Supported Au Nanoclusters

- Adsorbate binding energies, e.g. CO and O<sub>2</sub>, change significantly from the bulk values for clusters < 3.0 nm.
- DFT calculations show center of Au d-band significantly destabilized for Au/TiO<sub>2</sub> compared to Au.
- Core-level shifts are markedly non-bulk-like at <ca. 3.0 nm.
- Surface plasmon not observed for clusters <ca. 3.0 nm.
- **Sublimation energies of clusters < 3.0 nm are markedly lower than the corresponding bulk value.**
- **Nanoclusters are generally unstable to reaction conditions, i.e., understanding and maintaining stability is a key to technological break-throughs.**

# CO Oxidation Over Au/TiO<sub>2</sub> as a Function of Reaction Time

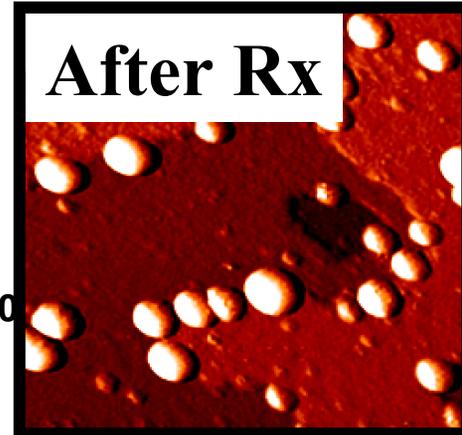
Reaction  
Rate, CO<sub>2</sub>  
molecules  
per site per  
second



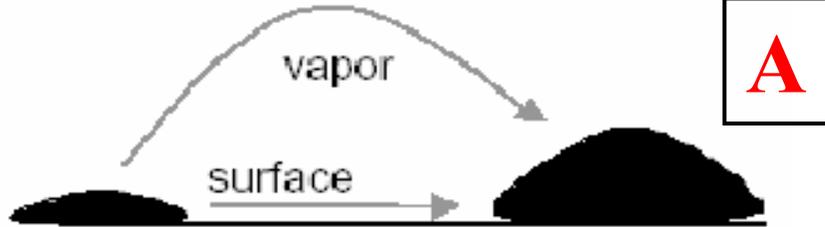
**Before Rx**



**After Rx**



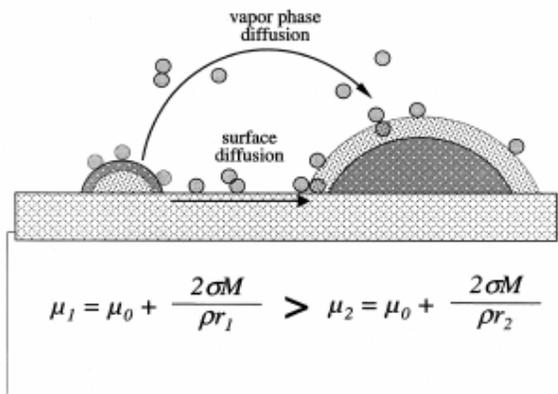
# Sintering Mechanisms



P. Wynblatt and N. A. Gjostein,  
*Acta Metallurgica*, 24, 1165 (1976)

## interparticle transport

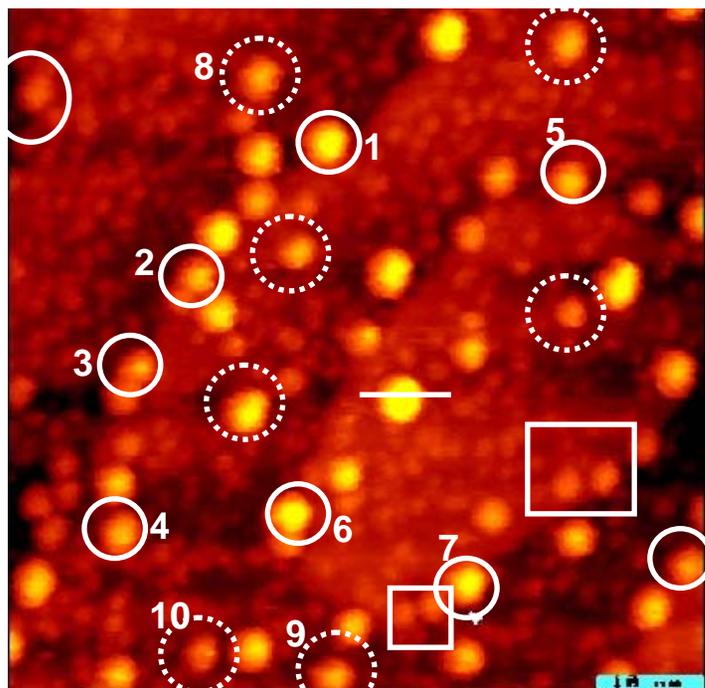
**Atom Migration (Ostwald Ripening) : atoms/atom ensembles migrate to adjacent clusters to form larger clusters**



$\mu$  – chemical potential;  $\sigma$  – surface free energy  
 $M/\rho$  – atom volume;  $r$  – cluster radius

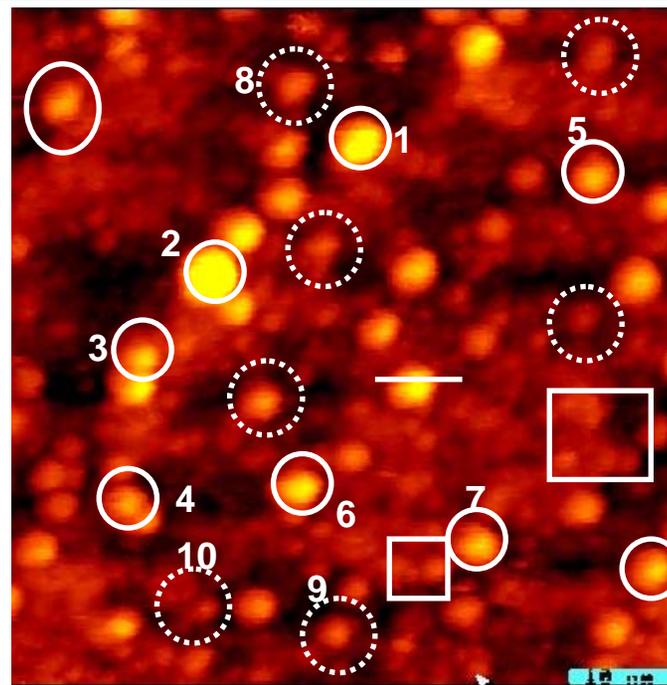
## Gibbs-Thompson relationship

**STM: 0.5 MLE Au/TiO<sub>2</sub> (110), CO/O<sub>2</sub> (1:1), 4.2 Torr @ 420K**

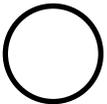
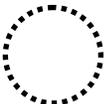


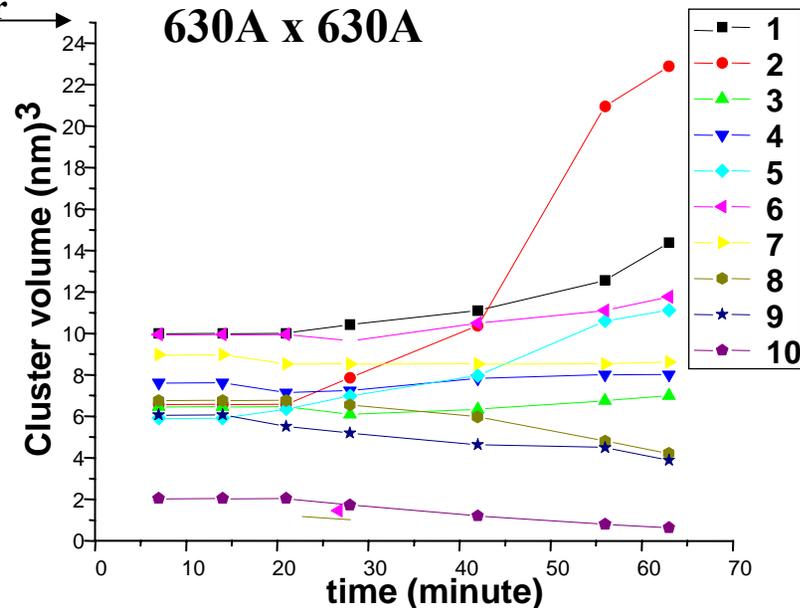
630A x 630A

+ 1 hour

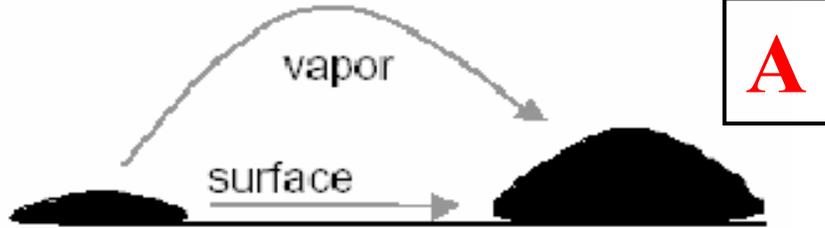


630A x 630A

-  Cluster size increase
-  Cluster size decrease
-  Cluster disappears



# Sintering Mechanisms

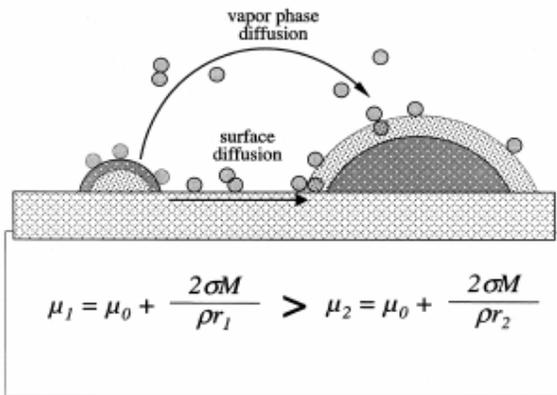


**A**

P. Wynblatt and N. A. Gjostein,  
Acta Metallurgica, 24, 1165 (1976)

## interparticle transport

**Atom Migration (Ostwald Ripening) :** atoms/atom ensembles migrate to adjacent clusters to form larger clusters



**B**

## particle migration/coalescence

**Cluster Migration :** Clusters migrate along the surface, collide with others and coalesce

$$\mu_1 = \mu_0 + \frac{2\sigma M}{\rho r_1} > \mu_2 = \mu_0 + \frac{2\sigma M}{\rho r_2}$$

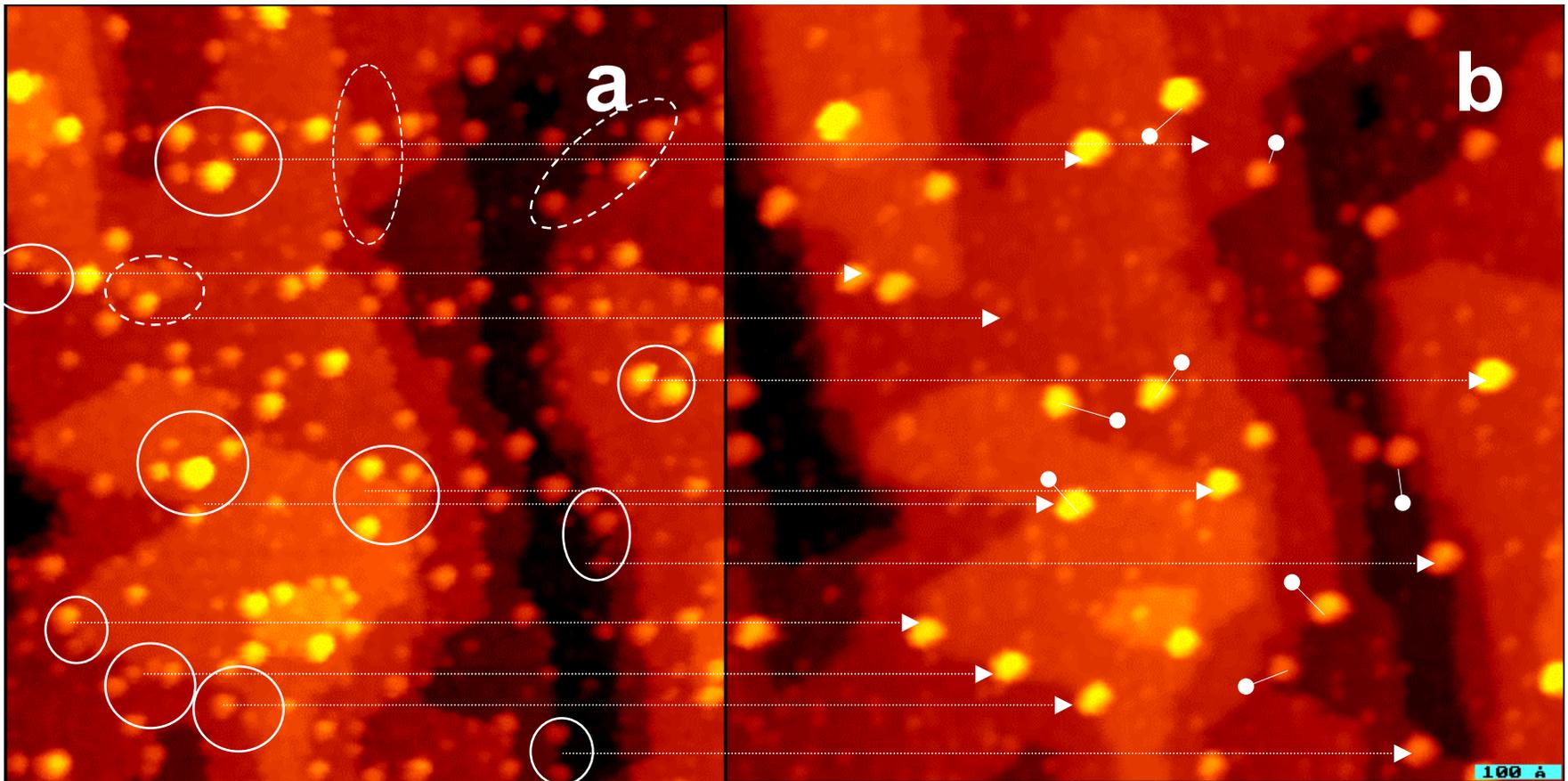
$\mu$  – chemical potential;  $\sigma$  – surface free energy  
 $M/\rho$  – atom volume;  $r$  – cluster radius

## Gibbs-Thompson relationship

# Au/TiO<sub>2</sub>(110) Before and After Annealing to 950K

As deposited

After a 950K x 30 min. anneal



100 nm

100 nm

Kolmakov and Goodman,  
Chem. Rev., 2003

# Role of support in metal activation & cluster sintering:

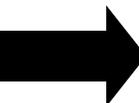
**SiO<sub>2</sub>** versus **TiO<sub>2</sub>**?

# Model Oxide-Supported Metal Catalysts

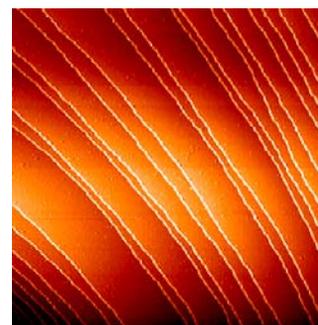
## Thin Oxide Film Support + Metal Clusters

*e.g.* Mo, Re  
Ta, W

Refractory Single Crystal



400 nm



Mo(112)



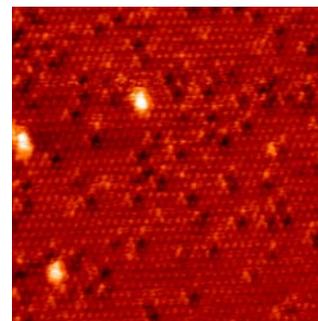
*e.g.* SiO<sub>2</sub>, Al<sub>2</sub>O<sub>3</sub>,  
MgO, TiO<sub>2</sub>  
1-10 nm

Oxide Thin Film

Refractory Single Crystal



50 nm



SiO<sub>2</sub>/  
Mo(112)



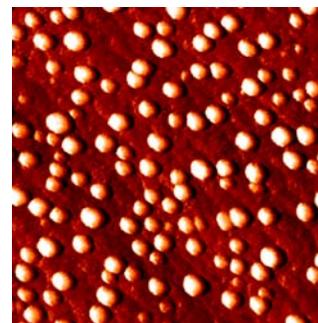
Metal Clusters  
1.0 - 50 nm

Oxide Thin Film

Refractory Single Crystal



50 nm

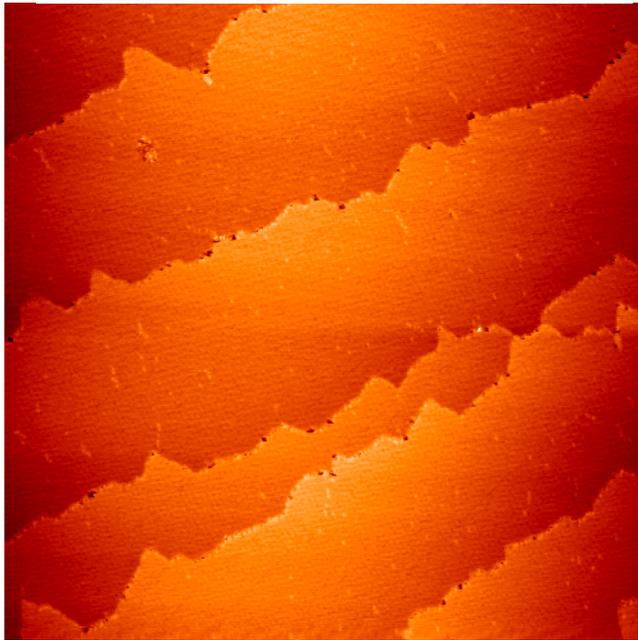


0.5 ML Au  
SiO<sub>2</sub>/  
Mo(112)

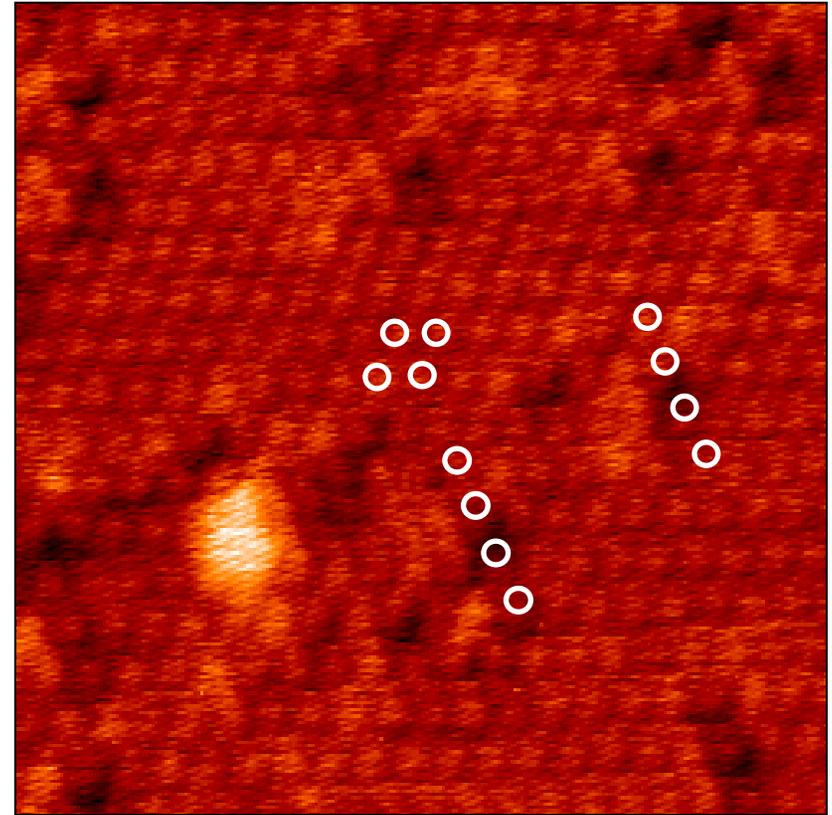
# Preparation & Characterization of Ultra-thin, Well-ordered SiO<sub>2</sub>/Mo(112)

Schroeder, Adelt, Richter, Naschitzki, Baumer, and Freund. *Surf. Rev. Lett.* 7 (2000)

1. Si @RT
2. O<sub>2</sub> @ 800K
3. Anneal @1200 K



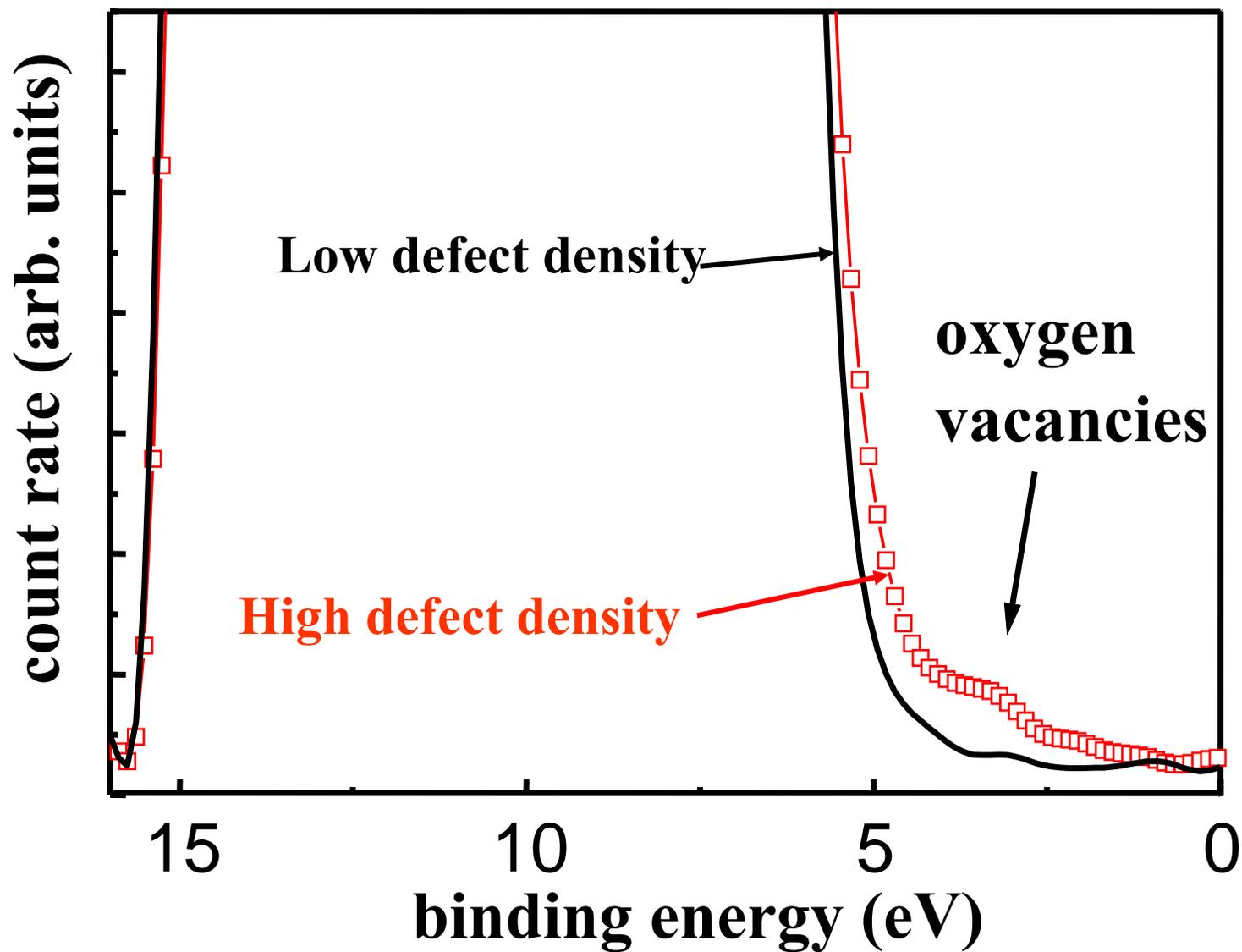
← 400 nm →



← 10 nm →

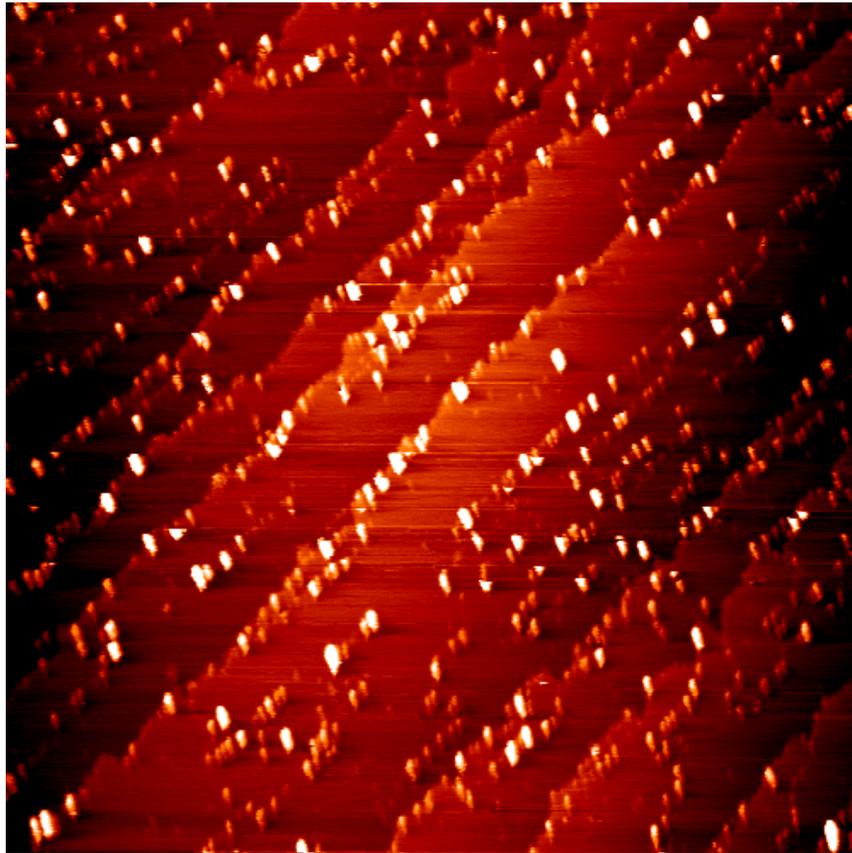
**0.7 nm thick, sharp hexagonal LEED with a band gap ~8.9 eV (STS)**

# Defects on SiO<sub>2</sub> Surfaces Studied by Metastable Electron Impact Spectroscopy: MIES



# Au Cluster Nucleation on Low-Defect Versus High-Defect SiO<sub>2</sub>

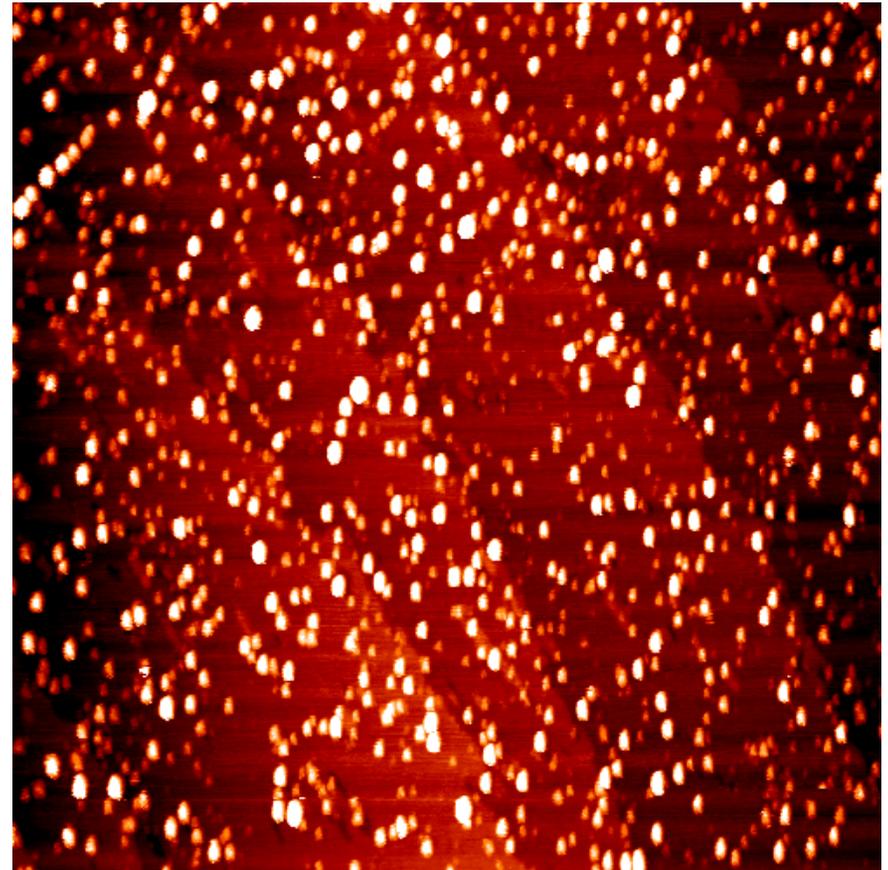
**“Au + Low Defect SiO<sub>2</sub>”**



200 nm

**0.40 ML of Au  
0.033 ML/min  
300 K**

**“Au + High Defect SiO<sub>2</sub>”**



200 nm

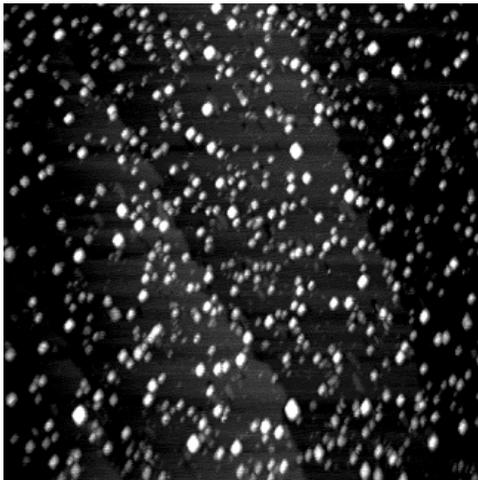
**0.40 ML of Au  
0.033 ML/min  
300 K**

# Au Cluster Nucleation on Defective SiO<sub>2</sub>

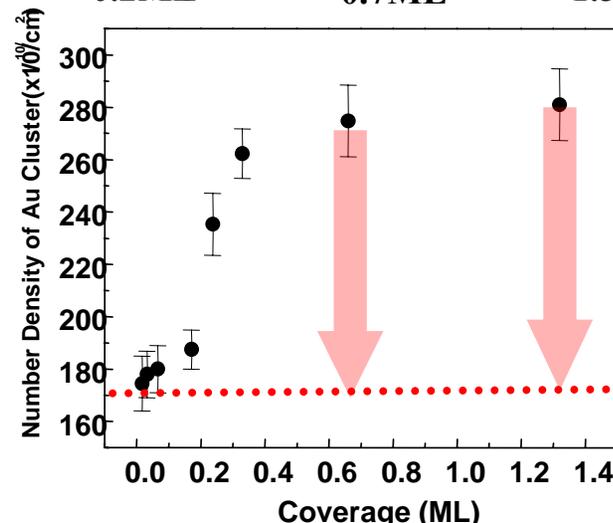
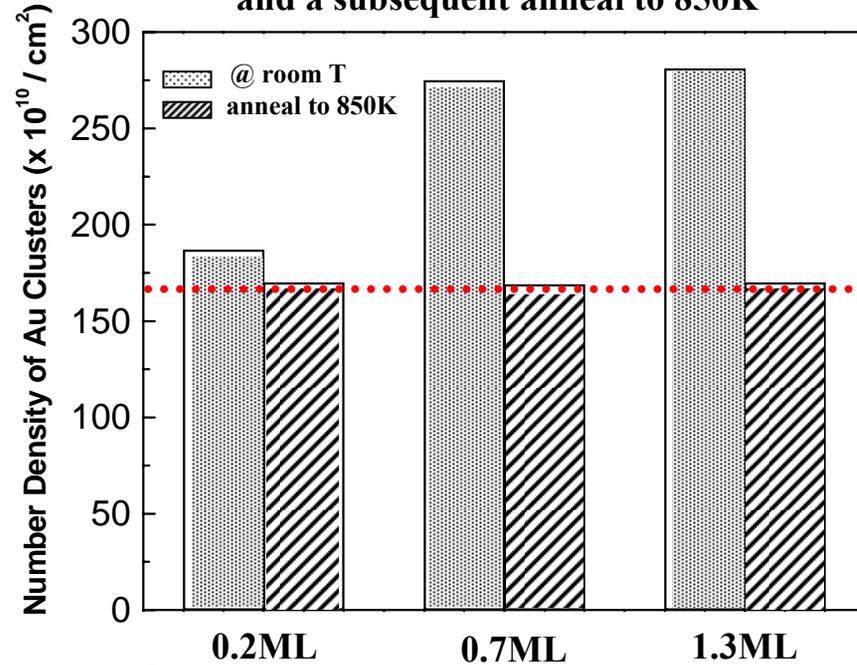
0.7 ML Au at room T



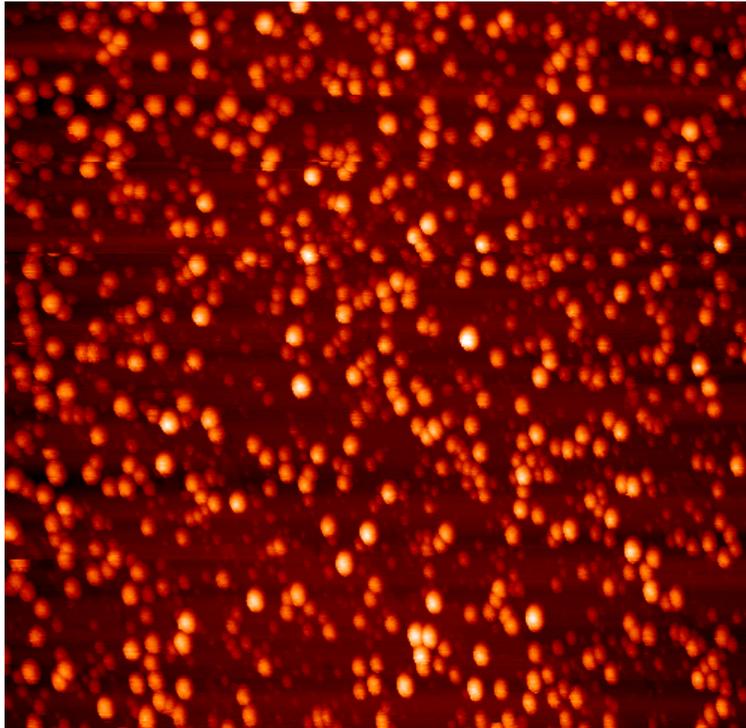
0.7 ML Au after a 850 K anneal



A comparison of Au cluster density after deposition at RT and a subsequent anneal to 850K

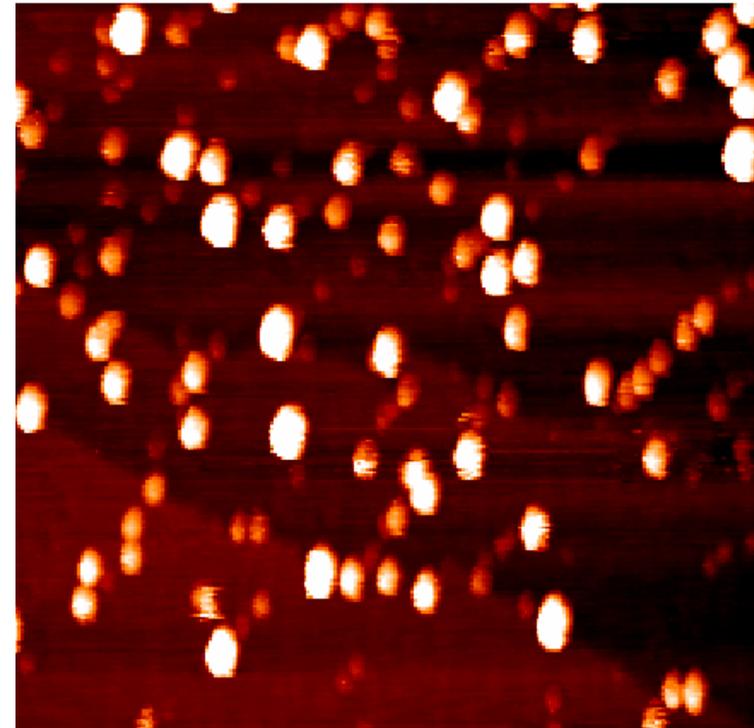
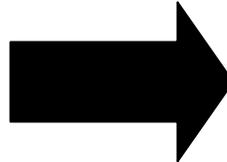


# Sintering of Au Clusters on SiO<sub>2</sub>



200 nm

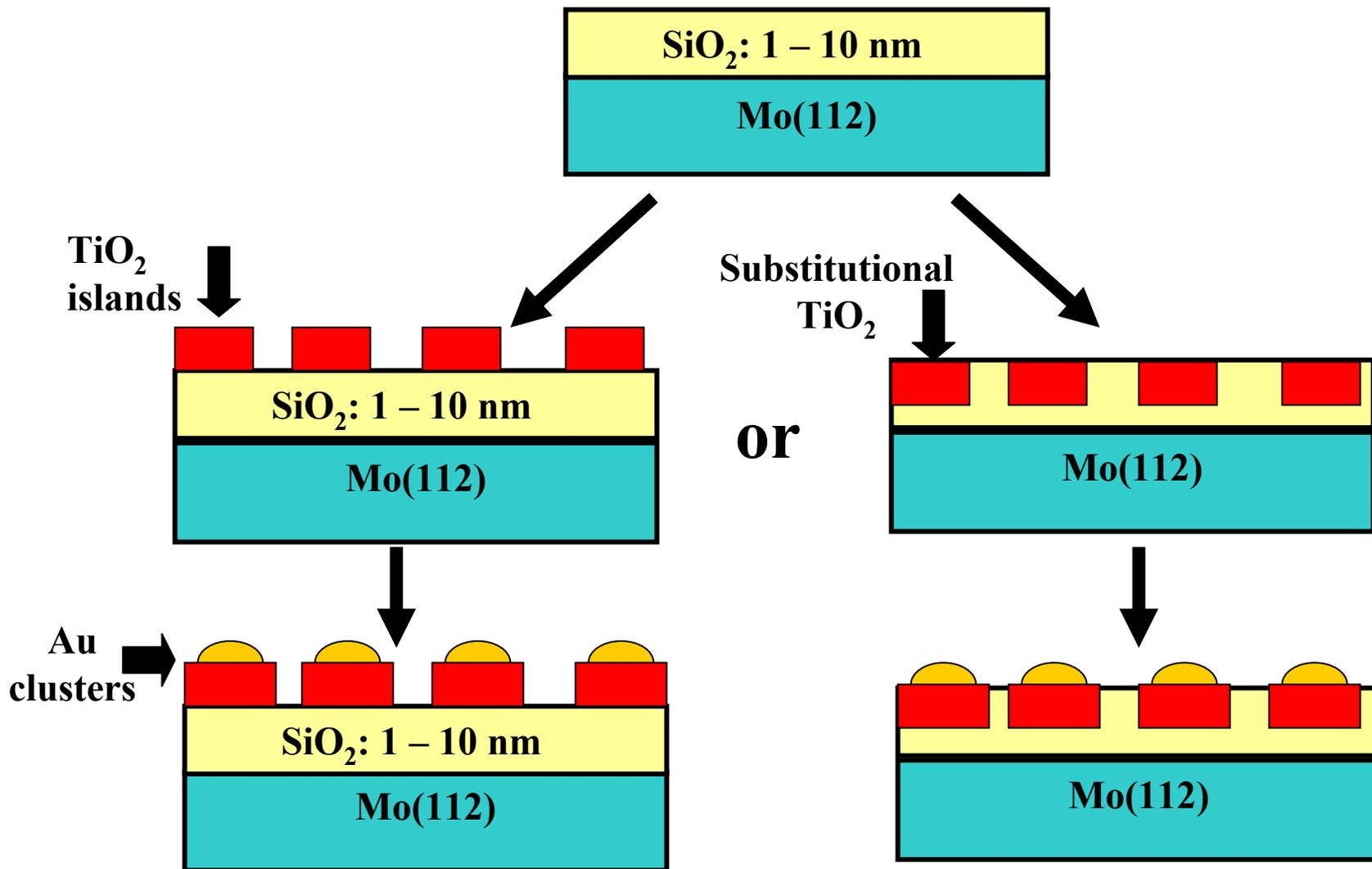
850 K  
anneal



200 nm

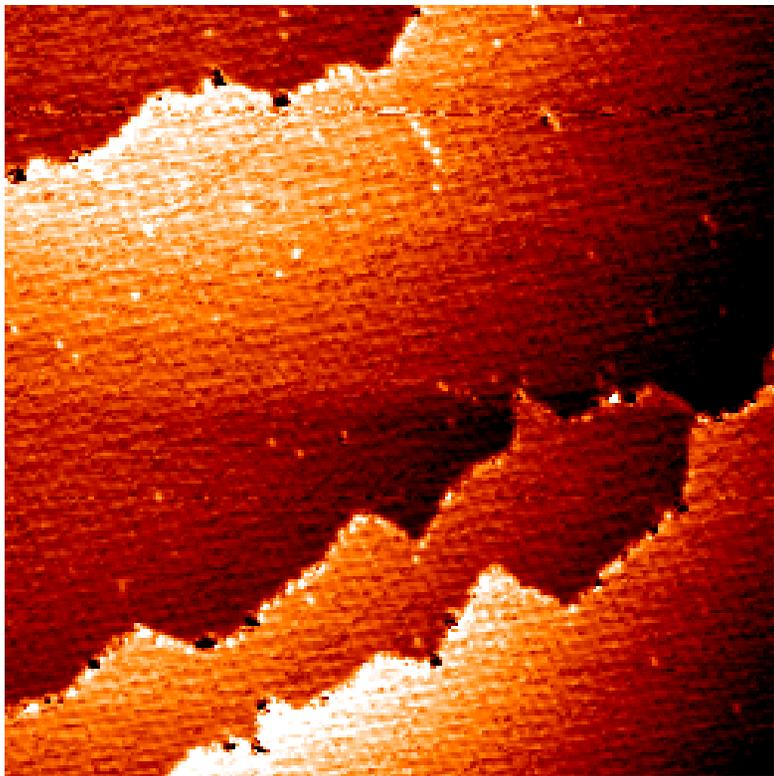
- Sintering of Au on SiO<sub>2</sub> more facile than on TiO<sub>2</sub>  
i.e, **Au binds less strongly to SiO<sub>2</sub> than to TiO<sub>2</sub>**

# Strategies for a Sinter-Resistant Support: $\text{TiO}_2$ Dispersed onto and into $\text{SiO}_2$



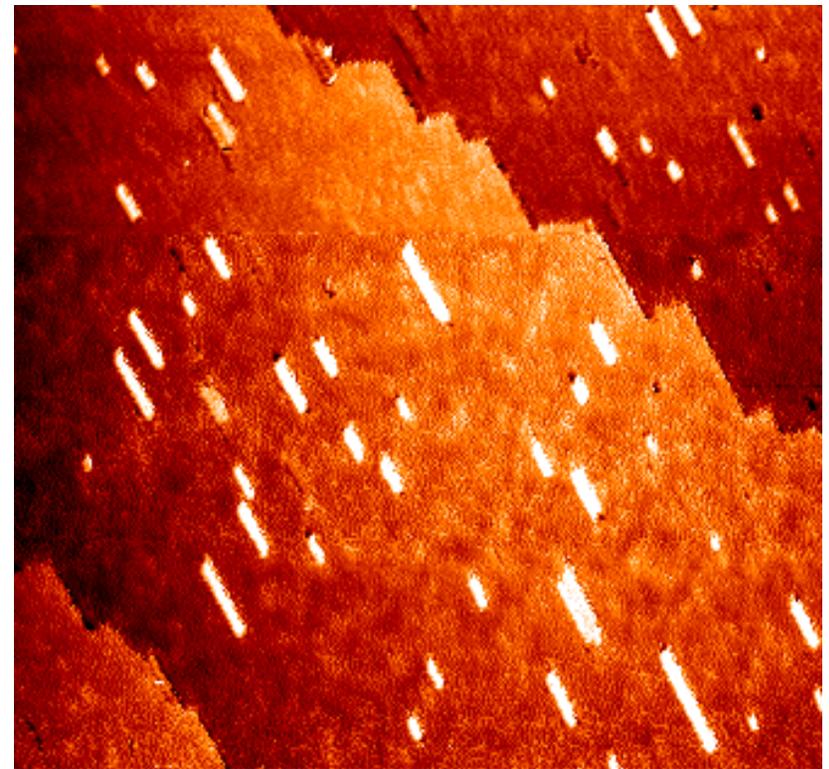
# TiO<sub>x</sub> Islands Dispersed on SiO<sub>2</sub>

1.0 ML SiO<sub>2</sub>/Mo(112)



100 nm

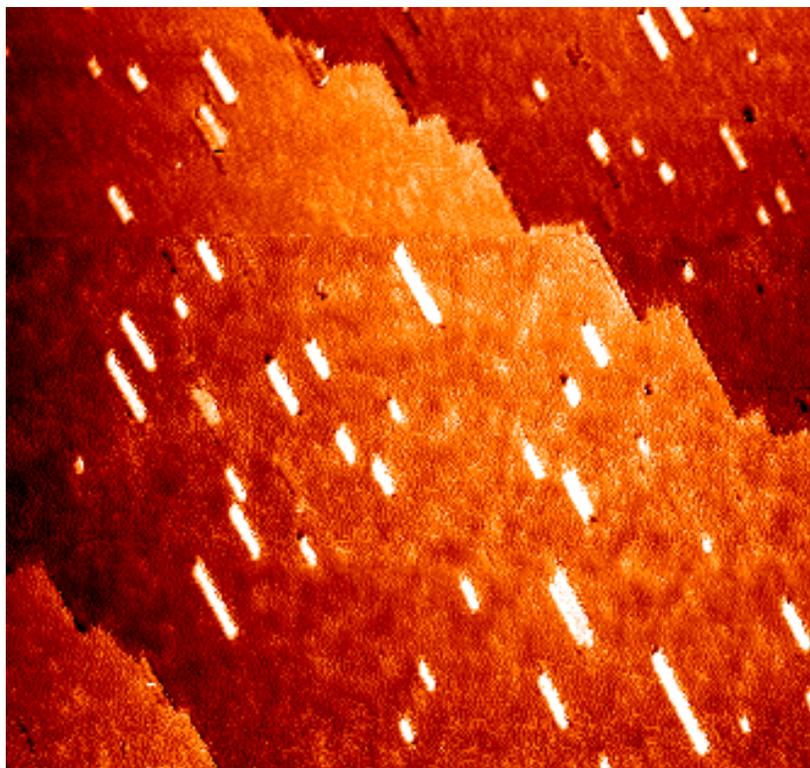
0.2 ML TiO<sub>x</sub>/SiO<sub>2</sub>/Mo(112)



100 nm

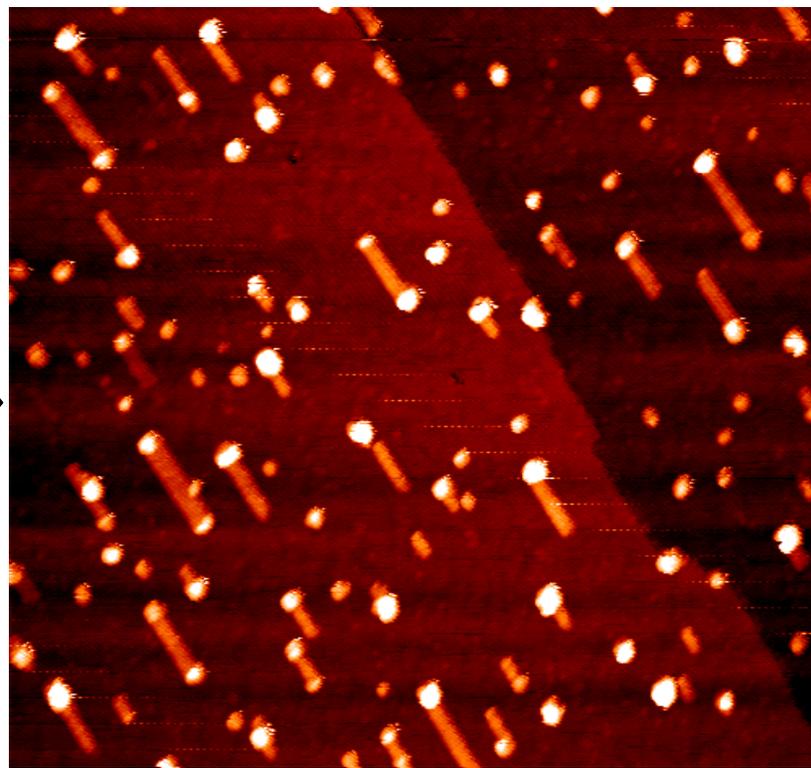
# Au Particles Deposited onto $\text{TiO}_x$ Islands Dispersed on $\text{SiO}_2$

0.2 ML  $\text{TiO}_x/\text{SiO}_2/\text{Mo}(112)$



100 nm

0.4 ML  $\text{Au}/\text{TiO}_x/\text{SiO}_2/\text{Mo}(112)$



100 nm

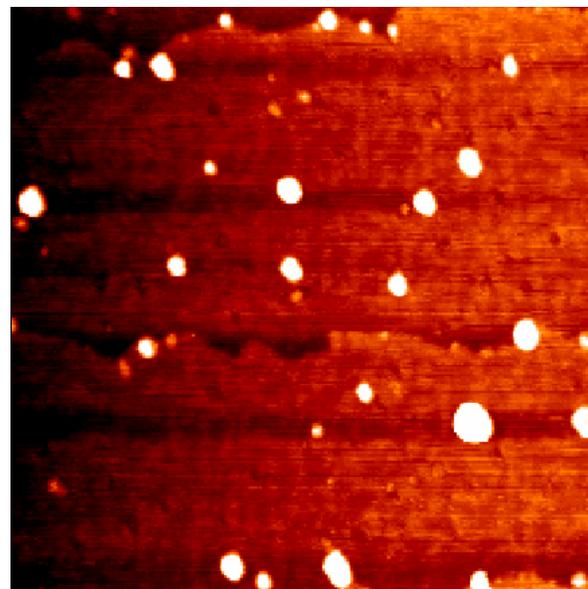
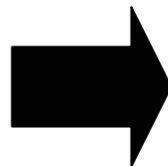
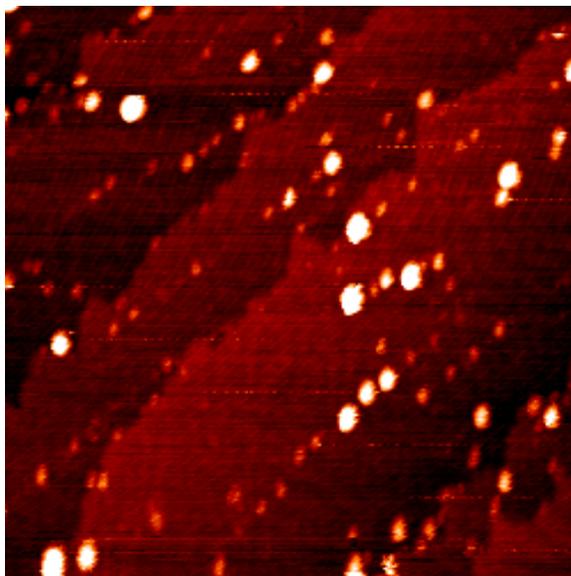
# Au/SiO<sub>2</sub> versus Au/TiO<sub>x</sub>/SiO<sub>2</sub>: 850 K Anneal

“before”

“after”

SiO<sub>2</sub>

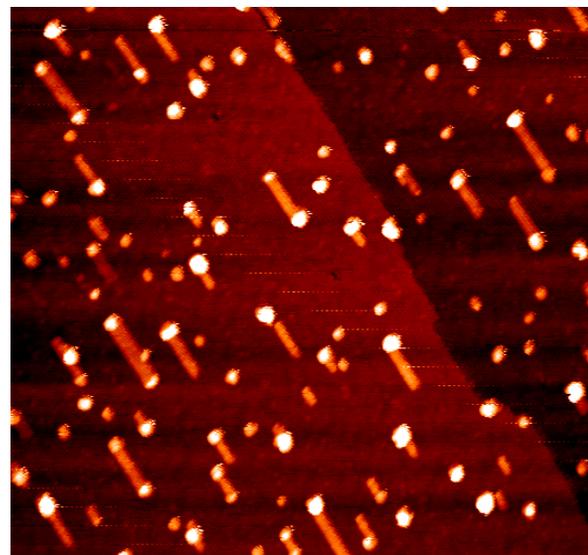
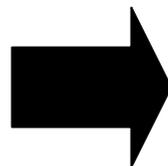
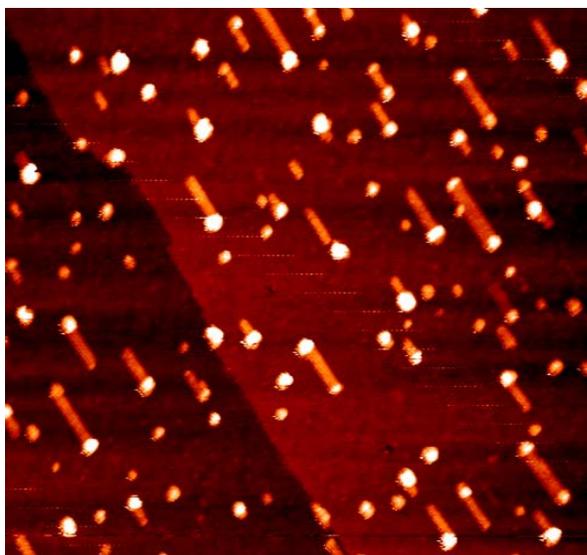
+  
0.4 ML Au



100 nm

TiO<sub>x</sub>/SiO<sub>2</sub>

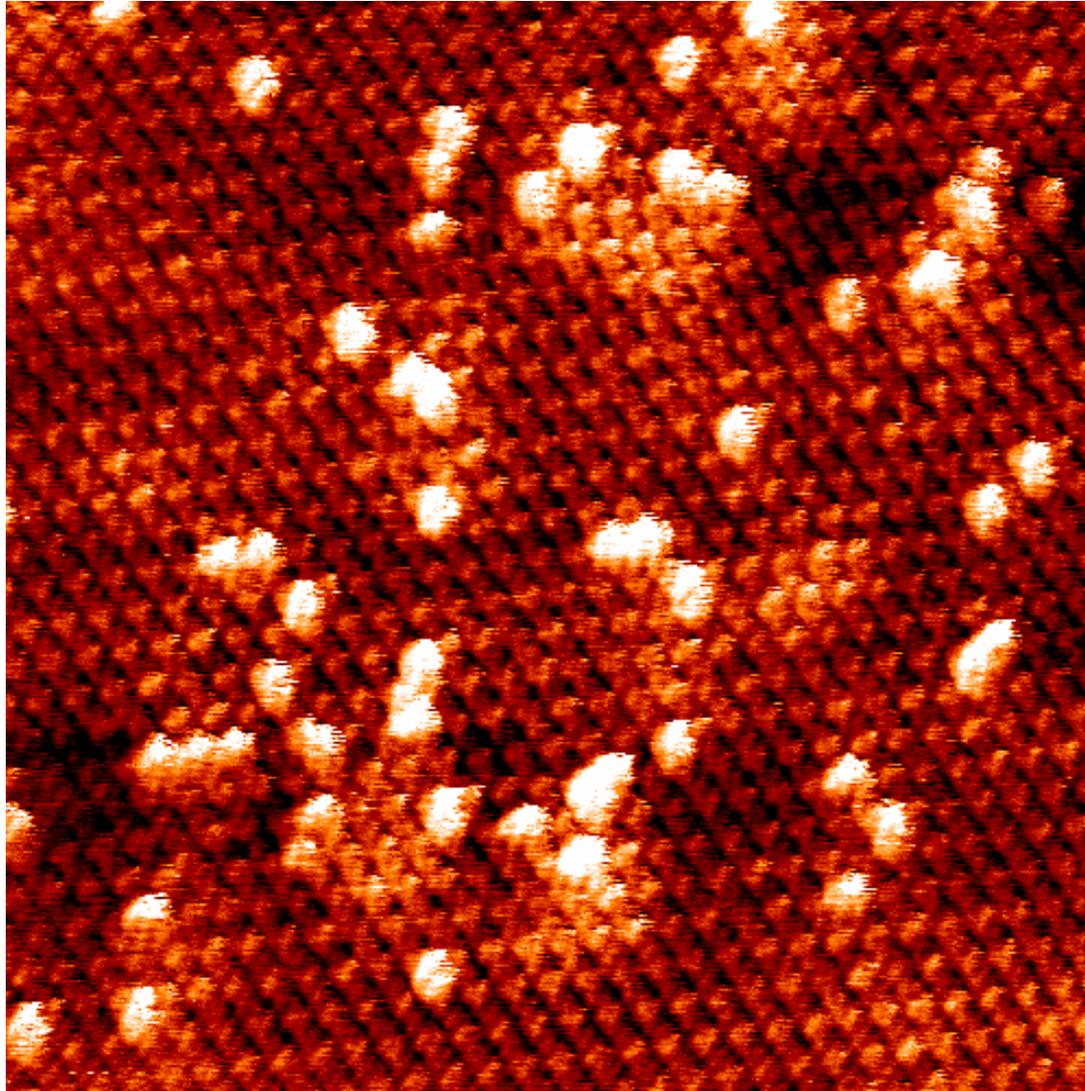
+  
0.4 ML Au



100 nm

# Ti Point Defects on SiO<sub>2</sub>

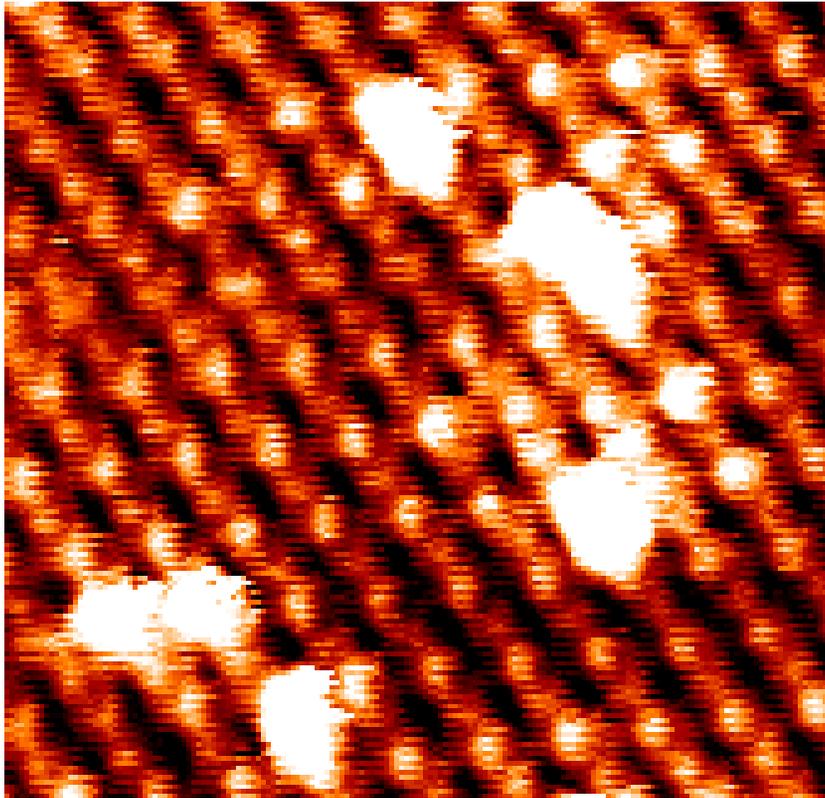
15 nm



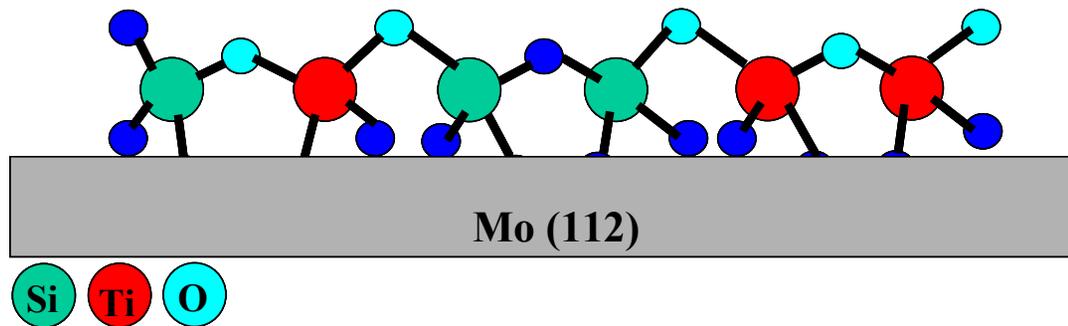
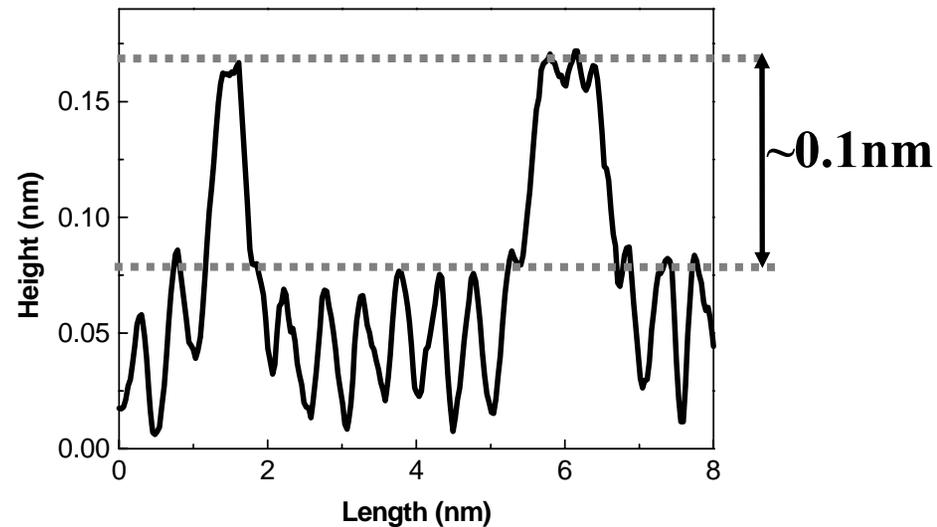
15 nm

# STM: $\text{TiO}_x\text{-SiO}_2$ Thin Film with 8% Ti

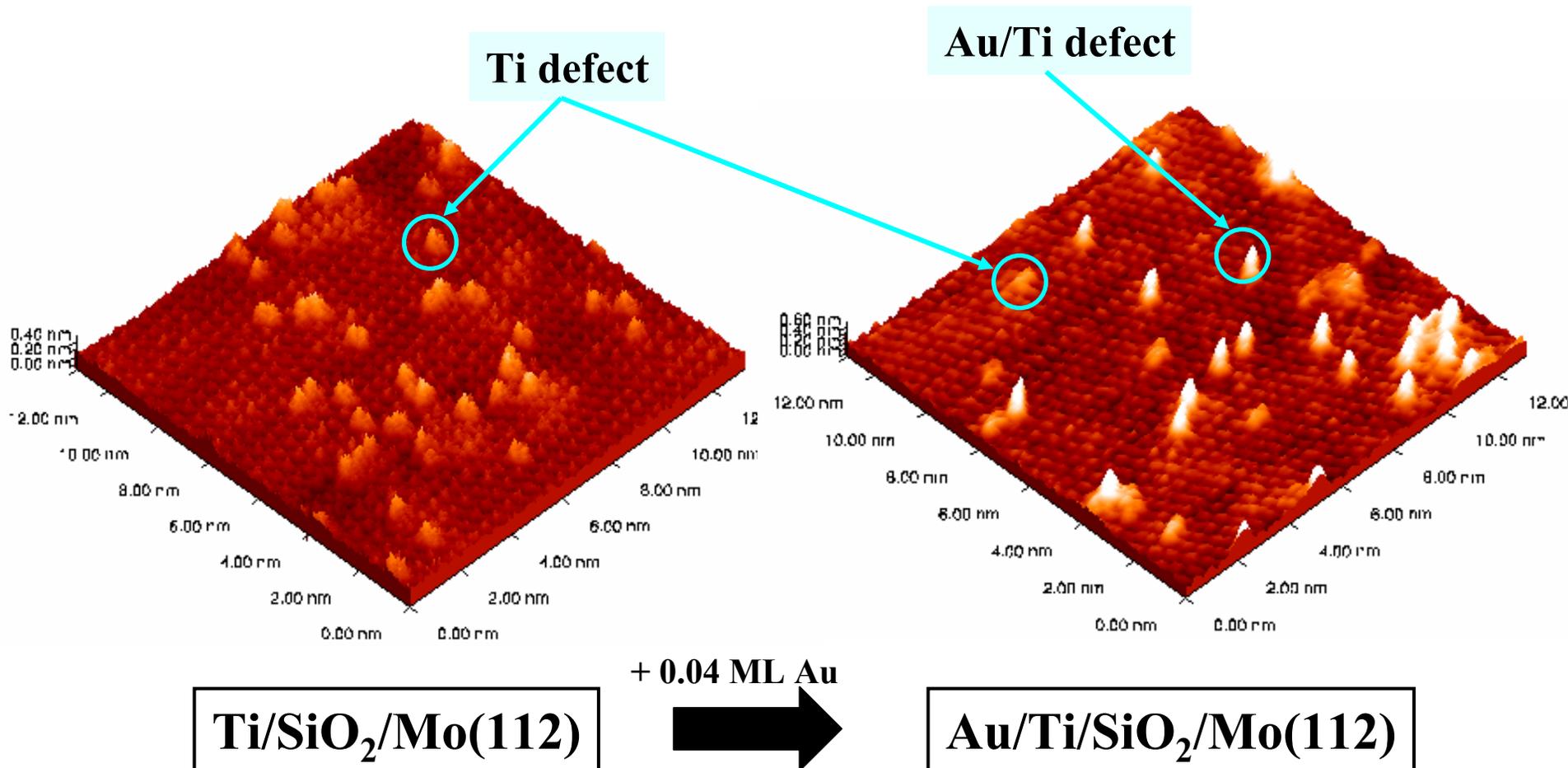
← 2.4 nm →



Scan across Ti defects



# Decoration of Ti Point Defects with Gold



# Conclusions

- **Catalytic reactivity and selectivity are markedly different for clusters  $< \sim 3.0$  nm.**
- **Core-level shifts, valence band structure, sublimation energies, and adsorbate binding energies are unique for clusters  $< \sim 3.0$  nm.**
- **Nanoclusters are generally unstable to reaction conditions, i.e., understanding and maintaining stability are the keys to technological breakthroughs.**

# Coworkers

## Hi-press STM

Fan Yang  
Patrick Han

## IRAS

Tao Wei  
Matt Lundwall

## STM

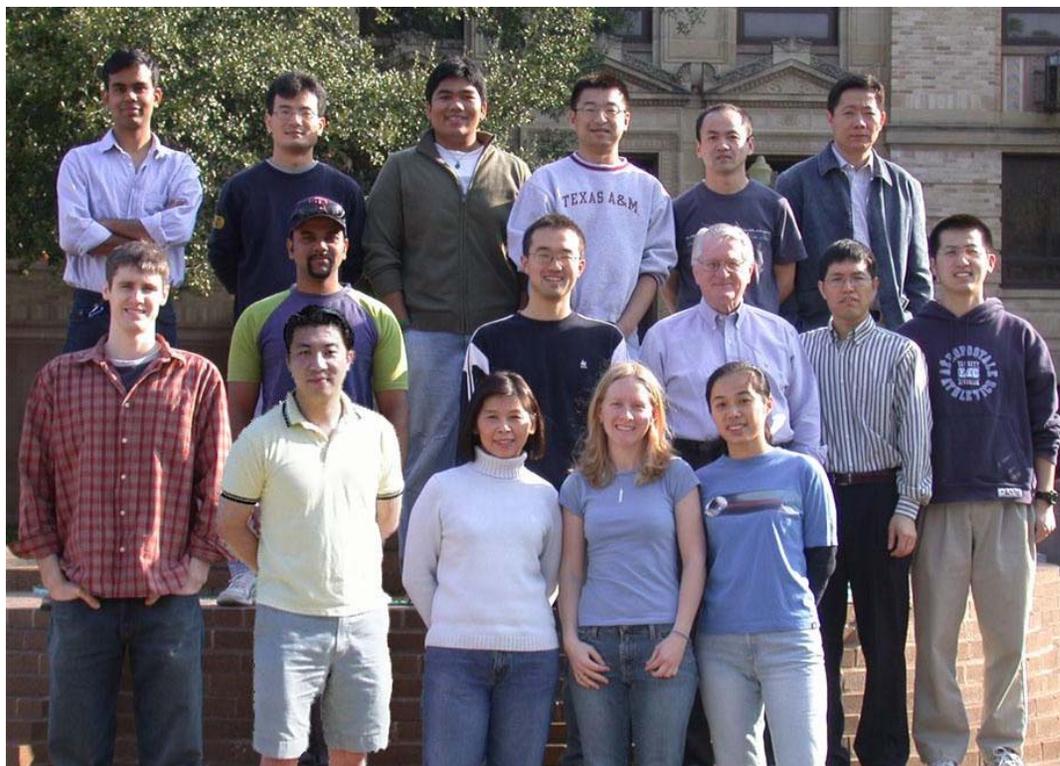
Mingshu Chen

## HREELS

Zhen Yan  
Ming-shu Chen

## PM-IRAS

Yun Cai



## ISS

Kai Luo  
Stepahnus Axnanda

## Rx-XPS

Dheeraj Kumar  
Mingshu Chen

## Lo-T IRAS

Cheol-Woo Yi

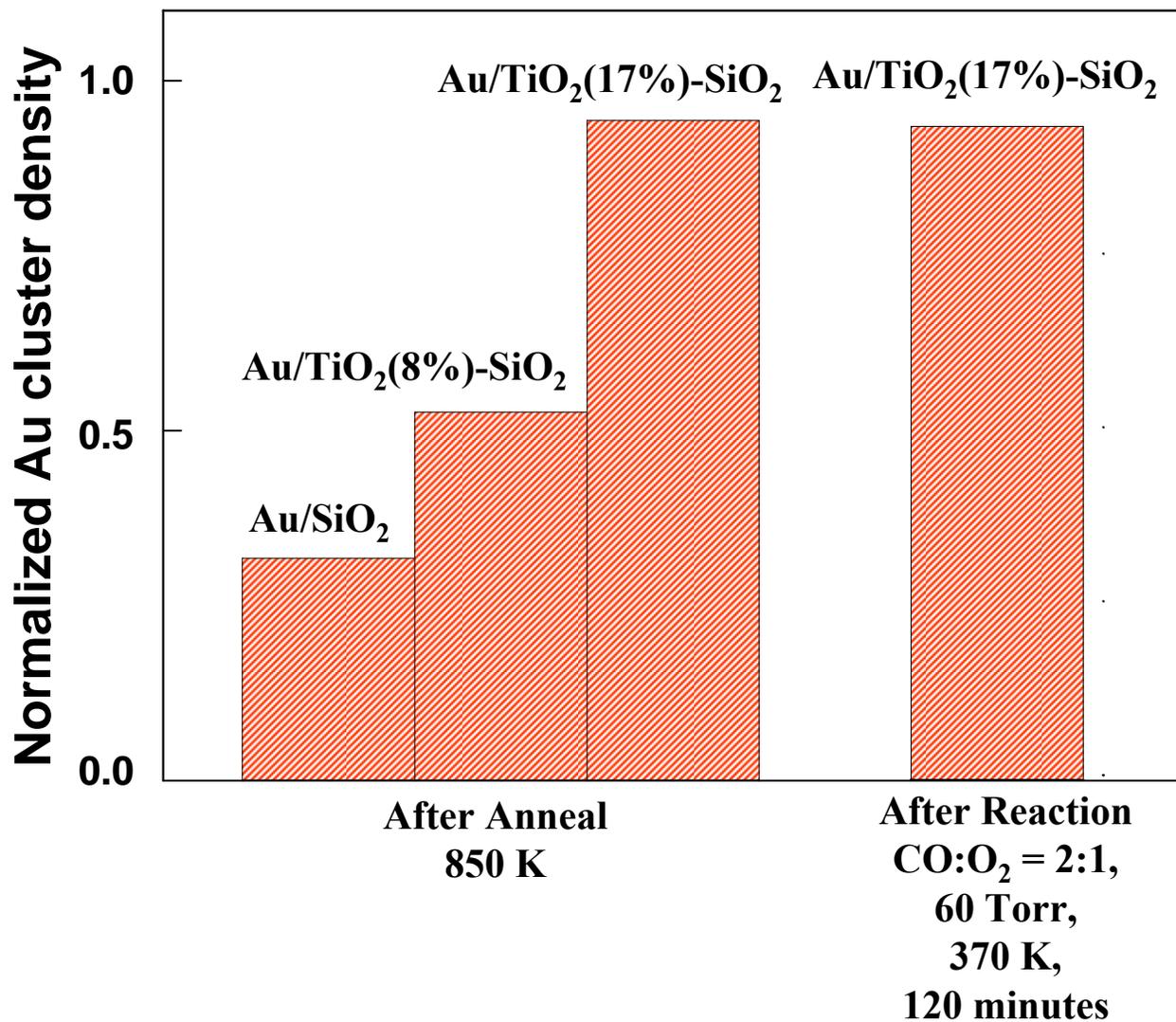
## MIES

Sungsik Lee

## Hi-SA Supported Catalysts

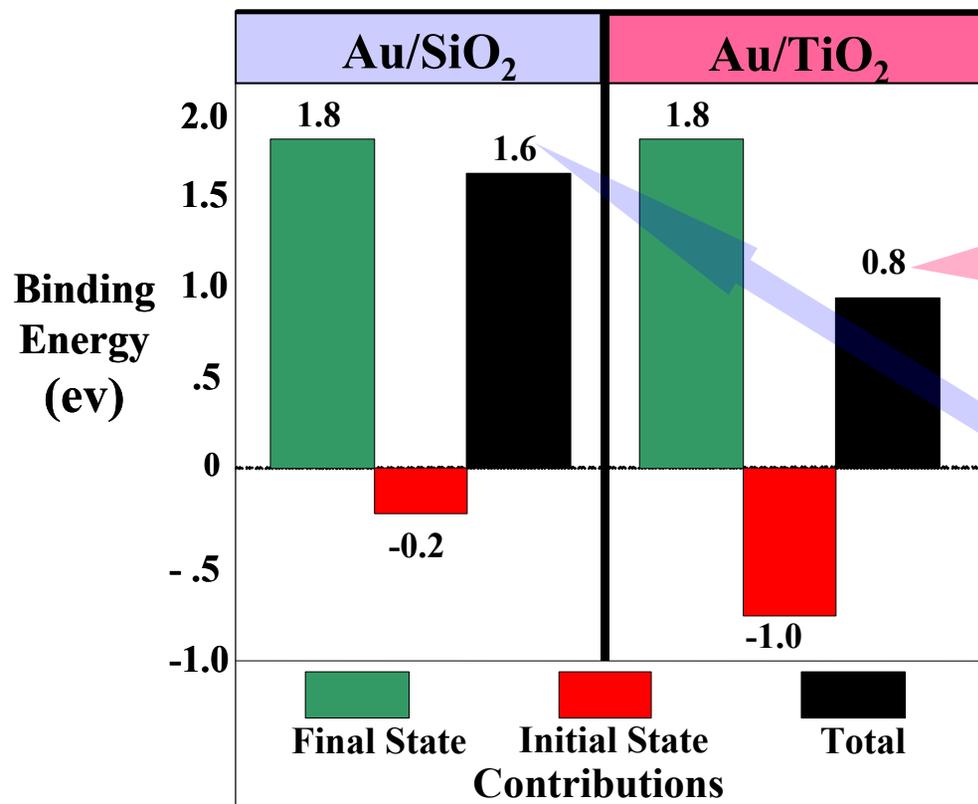
Zhen Yan  
Bo Wang

# Au Cluster Density After The Indicated Treatment Normalized To The Cluster Density After Nucleation At Room Temperature

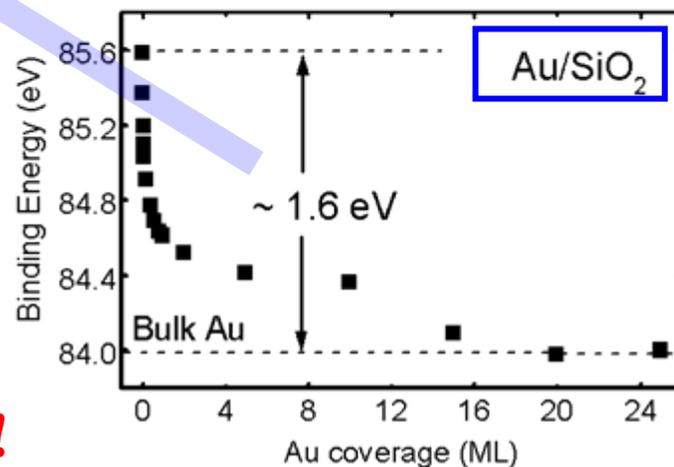
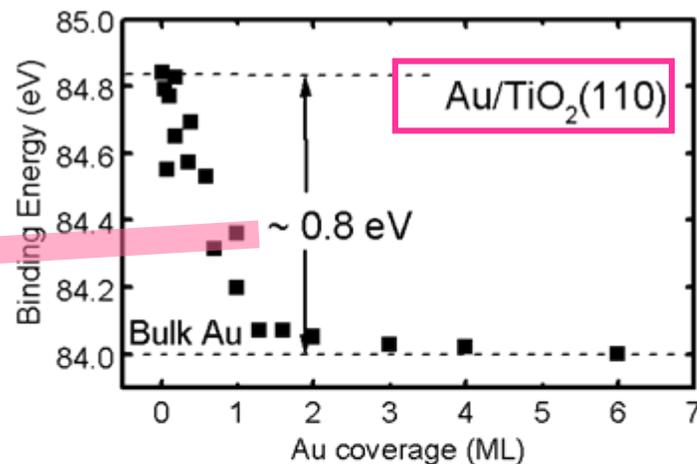


# XPS Core Level Shifts: Au/SiO<sub>2</sub> vs. Au/TiO<sub>2</sub>

## Core Level Shift: Bulk – Small Cluster Limit



## XPS Core Level Shifts



**Implications: electron-rich Au on TiO<sub>2</sub>!**

# DFT Calculations for Au and Au/TiO<sub>2</sub>(110)

Yang, Wu, Goodman, PRB (2000)

